



Status of the City's Finances

2022



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I. Introduction

We are pleased to transmit to the Honolulu City Council the *Status of the City's Finances* for 2022. Each year, the Office of Council Services (OCS) prepares this brief to aid Councilmembers during the City's annual budget cycle. The 2022 report presents the following: (1) details about the status of the operating budget for the prior fiscal year; and (2) the status of capital projects for the most recently ended appropriation period.

We hope that you find the information provided useful during the FY 2023 budget cycle.

II. Operating Budgets

This is a comparison of actual versus budgeted revenues and expenditures for the executive operating budget. This review covers all of fiscal year ("FY") 2021 (ending on June 30, 2021) and is based on the information in the FY 2022 Executive Program and Budget documents, the legislative and executive operating budget ordinances for FY 2021 (Ordinances 20-21 and 20-22, respectively), unaudited financial statements for FY 2021, and the December 2021 accounting reports for FY 2022 from the Department of Budget and Fiscal Services. The review of expenditures includes those from all fund sources, while the review of revenues only covers general fund revenues.

Overview:

- In FY 2021, the largest general fund revenue variance (that was more than \$1 million and five percent between actual and budgeted revenue amounts) was because approximately \$22.7 million was not collected in State Transient Accommodations Tax.
- In FY 2021, the largest appropriation lapse was over \$86 million lapsing from the \$583.8 million appropriation for City and County Bonds.
- Another significant appropriation lapse was \$71.3 million lapsing from the \$340.8 million appropriation for Transportation Mobility (almost 21%).

A. GENERAL FUND OVERVIEW

Overall, for FY 2021, actual general fund revenues were \$139.9 million more than the \$1.9 billion estimated in the budget (these figures include revenues, other financing sources (e.g. sale of assets), transfers in, and unreserved fund balance from prior year). Actual general fund expenditures for executive and legislative departments, miscellaneous expenses and debt service totaled \$99 million lower than the \$1.9 billion budgeted (these figures include expenditures from departments, miscellaneous expenditures, and debt service; other financing uses; and transfers out. The actual unreserved and undesignated fund balance at the end of FY 2021 totaled \$240.7 million more than the budgeted fund balance of \$304,000. The \$241 million ending fund balance computes to a favorable ratio of 13.4 percent compared to FY 2021 expenditures.¹

SIGNIFICANT REVENUE VARIANCES FOR GENERAL FUND

The following table shows general fund revenue sources with a variance of both \$1 million and five percent or more between actual and budgeted revenue amounts for FY 2021. Negative amounts mean actual revenues were below the amounts budgeted.

**Table II-1. FY 2021 Major
General Fund Revenue Variances**

General Fund Revenue Source	Variance From Budget	Percent of Budgeted Amount
Building Permits	\$1,339,000	6.8%
Emergency Ambulance Services	(\$8,640,000)	-17.0%
Investments	(\$11,834,000)	-75.2%
Plan Review Use Fees	(\$1,064,000)	-42.1%
Public Service Company Tax	\$3,569,000	8.3%
Real Property Tax	\$106,524,000	8.0%
Recoveries-Other	\$1,253,000	122.0%
Rental Units (City Property)	(\$1,834,000)	-91.1%
Sundry Refunds	\$6,716,000	1257.7%
Transient Accommodation Tax	(\$22,712,000)	-100.0%

¹ The Government Finance Officers Association, a standard-setting professional association, recommends an unreserved fund balance in the general fund of no less than five to 15 percent of operating revenues.

B. EXPENDITURE RESULTS BY ACTIVITY

Table II-2 displays expenditure results of activities in the FY 2021 executive operating budget ordinance, listed by agency. Table II-3 displays expenditure results of activities in the FY 2021 legislative budget ordinance, listed by agency. In addition, if accounting reports show that an activity received state or federal funds that were not appropriated, that funding is shown in the "Other Grants" line-item for the appropriate agency. For each activity, the amounts appropriated, expended/encumbered, and lapsed in the fiscal year are shown. Included is the percentage of the activity's appropriation that the lapsed amount represents. Activities where the lapsed amount equaled or exceeded both \$1 million and five percent of the adjusted appropriation are highlighted, but only if the lapsed amount and percentage continue to meet the criteria after excluding grant funds from any source.² For each activity, the following information is provided regarding its status at the end of the fiscal year:

"Appropriation" - Total appropriated amount as shown in the budget ordinance.

"Adjusted Appropriation" - The total appropriated amount as it may have been adjusted by any transfers and grants, from either State or federal sources. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

"Expended/Encumbered" - The amount of the adjusted appropriation that was expended or encumbered during the fiscal year.

"Lapsed" - The amount that lapsed at the end of the fiscal year and its percentage of the adjusted appropriation.

² Unbudgeted grant and special projects funds are excluded.

Table II-2
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2021, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Mayor					
Administration	766,872	875,929	840,525	35,404	4.0%
Contingency	30,000	30,000	6,957	23,043	76.8%
Other Grants*	-	10,000	-	10,000	100.0%
Managing Director					
City Management	2,117,462	2,396,701	2,265,773	130,928	5.5%
Culture and the Arts	530,874	547,428	516,478	30,950	5.7%
Neighborhood Commission	871,756	927,971	821,737	106,234	11.4%
Office of Housing	179,896	205,656	189,443	16,213	7.9%
Office of Climate Change, Sustainability and Resiliency	1,283,246	1,444,199	961,646	482,553	33.4%
Royal Hawaiian Band	2,454,646	2,454,646	2,327,137	127,509	5.2%
Other Grants*	-	367,320,021	279,586,424	87,733,597	23.9%
Department of Customer Services					
Administration	653,243	690,372	635,440	54,932	8.0%
Public Communication	1,431,267	1,519,949	1,444,793	75,156	4.9%
Satellite City Hall	4,446,196	4,777,204	4,617,528	159,676	3.3%
Motor Vehicle, Licensing and Permits	18,708,852	18,934,924	17,836,862	1,098,062	5.8%
Department of Budget and Fiscal Services					
Administration	1,486,152	1,556,152	1,360,415	195,737	12.6%
Internal Control	522,466	522,466	437,122	85,344	16.3%
Fiscal/CIP Administration	1,898,369	1,938,862	1,334,634	604,228	31.2%
Budgetary Administration	920,742	950,742	856,244	94,498	9.9%
Accounting and Fiscal Services	6,054,724	6,285,710	4,765,092	1,520,618	24.2%
Purchasing and General Services	1,941,784	1,941,784	1,778,243	163,541	8.4%
Real Property	6,118,467	6,316,719	6,032,875	283,844	4.5%
Treasury	3,802,212	3,975,816	2,772,962	1,202,854	30.3%
Liquor Commission	4,532,219	4,532,219	3,410,159	1,122,060	24.8%
Other Grants*	-	35,952	27,621	8,331	23.2%
Department of Information Technology					
Administration	17,305,732	17,305,732	15,776,877	1,528,855	8.8%
Applications	2,216,164	2,417,798	2,303,143	114,655	4.7%
Technical Support	1,471,269	1,468,269	1,420,356	47,913	3.3%
Operations	1,375,337	1,612,769	1,493,923	118,846	7.4%
ERP-CSR	2,688,034	2,770,891	2,659,215	111,676	4.0%
Communications and Network	1,360,383	1,372,383	1,362,984	9,399	0.7%
Other Grants*	-	1,683,260	1,349,400	333,861	19.8%
Department of the Corporation Counsel					
Legal Services	12,949,841	12,949,841	9,536,386	3,413,455	26.4%
Ethics Commission	756,269	756,269	535,355	220,914	29.2%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2021, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Department of the Prosecuting Attorney					
Administration	6,043,650	6,134,465	5,943,873	190,592	3.1%
Prosecution	15,170,012	16,086,452	15,399,361	687,091	4.3%
Victim/Witness Assistance	2,166,131	3,321,288	2,869,542	451,746	13.6%
Department of Human Resources					
Administration	1,428,838	1,484,510	1,366,907	117,603	7.9%
Employment and Personnel Services	1,980,328	2,029,408	1,881,816	147,592	7.3%
Classification and Pay	835,873	835,873	830,891	4,982	0.6%
Industrial Safety and Workers' Compensation	1,374,609	1,438,508	1,431,507	7,001	0.5%
Relations and Training	1,371,951	1,393,711	1,186,836	206,875	14.8%
Department of Planning and Permitting					
Administration	6,607,900	6,773,428	3,777,663	2,995,765	44.2%
Site Development	3,173,185	4,354,907	4,297,622	57,285	1.3%
Land Use Permits	1,572,106	1,625,062	1,538,299	86,763	5.3%
Planning	3,040,365	3,143,266	2,371,862	771,404	24.5%
Customer Service Office	3,235,214	3,395,630	3,302,731	92,899	2.7%
Building	5,941,441	6,674,994	6,452,651	222,343	3.3%
Other Grants*	-	35,254	-	35,254	100.0%
Department of Facility Maintenance					
Administration	11,970,728	12,257,032	4,226,575	8,030,457	65.5%
Road Maintenance	30,548,243	31,551,031	22,996,357	8,554,674	27.1%
Public Building and Electrical Maintenance	42,066,841	43,043,002	32,704,765	10,338,237	24.0%
Automotive Equipment Services	18,505,248	19,022,184	16,795,442	2,226,742	11.7%
Other Grants*	-	5,950	1,000	4,950	83.2%
Department of Design and Construction					
Administration	2,404,140	2,525,940	1,219,364	1,306,576	51.7%
Project and Construction Mgmt	12,452,928	12,876,451	10,018,729	2,857,722	22.2%
Land Services	2,811,394	2,976,305	2,873,271	103,034	3.5%
Department of Land Management					
Administration	3,269,223	3,692,966	2,623,805	1,069,161	29.0%

Table II-2 (continued)					
EXECUTIVE OPERATING BUDGET					
Appropriations for FY 2021, All Funds					
Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Police Department					
Police Commission	624,374	624,374.00	556,742	67,632	10.8%
Office of the Chief of Police	8,034,986	8,534,986.00	8,269,358	265,628	3.1%
Patrol	166,762,119	166,762,119.00	161,720,487	5,041,632	3.0%
Special Field Operations	15,108,245	15,108,245.00	14,242,328	865,917	5.7%
Investigations	43,267,791	44,217,791.00	40,346,094	3,871,697	8.8%
Support Services	34,891,192	36,141,192.00	34,679,741	1,461,451	4.0%
Administrative Services	43,403,204	40,703,204.00	31,702,720	9,000,484	22.1%
Other Grants*	-	8,590,589	6,031,804	2,558,785	29.8%
Fire Department					
Fire Commission	20,676	20,676	4,860	15,816	76.5%
Administration	3,314,961	3,494,961	3,250,050	244,911	7.0%
Fire Communication Center	4,061,542	4,091,542	3,990,895	100,647	2.5%
Fire Prevention	5,799,074	5,799,074	5,555,715	243,359	4.2%
Mechanic Shop	3,135,956	3,135,956	3,124,395	11,561	0.4%
Training and Research	3,716,658	3,841,658	3,841,658	-	0.0%
Radio Shop	307,021	332,021	326,622	5,399	1.6%
Fire Operations	120,042,848	119,682,848	113,249,226	6,433,622	5.4%
Planning and Development	1,059,573	1,059,573	1,045,097	14,476	1.4%
City Radio System	300,507	300,507	278,579	21,928	7.3%
Other Grants*	-	2,995,940	1,990,378	1,005,562	33.6%
Department of Emergency Services					
Administration	860,628	840,628	816,727	23,901	2.8%
Emergency Medical Services	40,125,285	40,125,285	37,106,945	3,018,340	7.5%
Ocean Safety	17,108,547	19,305,988	16,566,410	2,739,578	14.2%
Health Services	949,474	984,594	933,250	51,344	5.2%
Other Grants*	-	55,275	12,262	43,013	77.8%
Department of Emergency Management					
Emergency Management Coordination	1,341,991	1,362,901	1,249,793	113,108	8.3%
Other Grants*	-	11,007,391	3,098,794	7,908,598	71.8%
Department of the Medical Examiner					
Investigation of Deaths	3,392,875	3,955,957	3,229,414	726,543	18.4%
Other Grants*	-	228,620	82,467	146,153	63.9%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2021, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Department of Community Services					
Administration	837,277	893,994	842,283	51,711	5.8%
Office of Grants Management	10,141,491	10,159,667	9,474,633	685,034	6.7%
Elderly Affairs	17,452,550	20,485,132	14,091,931	6,393,201	31.2%
WorkHawaii	12,881,398	13,661,398	6,633,261	7,028,137	51.4%
Community Assistance	67,162,975	73,015,642	66,228,826	6,786,816	9.3%
Community Based Development	14,914,279	47,666,627	32,262,882	15,403,745	32.3%
Other Grants*	-	52,882	-	52,882	100.0%
Department of Parks and Recreation					
Administration	2,554,889	2,784,092	2,650,108	133,984	4.8%
Urban Forestry	11,430,777	11,745,345	10,897,035	848,310	7.2%
Maintenance Support Services	8,416,404	8,594,292	8,013,799	580,493	6.8%
Recreation Services	24,591,540	23,886,540	21,455,660	2,430,880	10.2%
Grounds Maintenance	40,208,631	41,782,735	37,993,430	3,789,305	9.1%
Other Grants*	-	877,810	32,117	845,693	96.3%
Department of Enterprise Services					
Administration	740,616	964,372	950,458	13,914	1.4%
Auditoriums	6,394,303	6,297,303	4,342,057	1,955,246	31.0%
Honolulu Zoo	7,550,531	7,550,531	6,826,579	723,952	9.6%
Golf Courses	10,778,120	10,778,120	9,732,413	1,045,707	9.7%
Other Grants*	-	97,694	10,130	87,564	89.6%
Department of Transportation Services					
Administration	4,138,567	4,253,475	3,069,048	1,184,427	27.8%
Transportation Planning	15,655,178	15,908,386	14,854,602	1,053,784	6.6%
Transportation Mobility	339,665,049	340,766,935	269,466,857	71,300,078	20.9%
Traffic Engineering	2,474,311	2,779,262	2,509,833	269,429	9.7%
Traffic Signals and Technology	5,481,078	5,510,894	5,001,745	509,149	9.2%
Other Grants*	-	1,595,000	1,594,984	16	0.0%
Department of Environmental Services					
Administration	10,704,264	10,704,264	8,693,228	2,011,036	18.8%
Refuse Collection and Disposal	169,056,721	170,033,669	164,683,775	5,349,894	3.1%
Environmental Quality	6,904,225	6,904,225	5,847,842	1,056,383	15.3%
Collection System Maintenance	27,278,892	27,278,892	17,066,836	10,212,056	37.4%
Wastewater Engineering and Construction	2,034,185	2,034,185	626,800	1,407,385	69.2%
Treatment and Disposal	84,421,346	84,421,346	59,013,867	25,407,479	30.1%
*Other Grants	-	241,075	241,075	-	0.0%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2021, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Debt Service and Miscellaneous					
City and County Bonds	583,754,000	583,754,000	497,134,685	86,619,315	14.8%
County Pension Retirement System	5,000	5,000	4,646	354	7.1%
FICA	261,684,000	261,684,000	253,807,096	7,876,904	3.0%
Hawaii Employer-Union Health Benefits Trust Fund	36,486,501	36,486,501	32,114,452	4,372,049	12.0%
Workers' Compensation	69,234,000	69,234,000	60,276,708	8,957,292	12.9%
Unemployment Compensation	23,092,000	23,092,000	21,386,268	1,705,732	7.4%
Provision for Other Post-Employment Benefits	800,000	1,300,000	1,295,779	4,221	0.3%
Salary Adjustments and Accrued Vacation Pay**	190,106,000	190,106,000	190,106,000	-	0.0%
Provision for Vacant Positions**	4,290,665	1,789,896	2,500,769	1,789,896	41.7%
Provision for Grants, Partnerships and Security**	13,392,925	1,005,483	12,387,442	1,005,483	7.5%
Provision for Judgments, Settlements and Losses	3,000,000	1,835,069	1,164,931	1,835,069	61.2%
Risk Management	9,500,000	9,500,000	7,949,154	1,550,846	16.3%
Provision for Energy Costs**	13,900,000	13,900,000	13,152,724	747,276	5.4%
	5,500,000	5,500,000	-	5,500,000	100.0%
TOTAL EXECUTIVE APPROPRIATIONS	2,915,089,037	3,355,427,880	2,877,013,032	478,414,847	14.3%

* Other Grants reflects single purpose monies received from the state and federal governments. Although they are allocated for specific activities within the department, they are broken out into the Other Grants separate line item if those activities did not have any state or federal grant appropriations in Ordinance 20-22.

** Unlike other activities, for these line items the difference between the original appropriation and the adjusted appropriation is treated as the amount expended because it is the amount transferred to other line items for expenditure. The lapsed amount, then, is the adjusted appropriation. The percent lapsed is computed based on the budgeted appropriation, rather than on the adjusted appropriation.

**Table II-3
LEGISLATIVE BUDGET
Appropriations for FY 2021, All Funds**

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
City Council					
Administration	8,371,232	8,371,232	6,724,994	1,646,238	19.7%
Council Allotment	270,000	270,000	170,944	99,056	36.7%
Office of Council Services					
Administration	1,063,694	1,063,694	752,441	311,253	29.3%
Legal Assistance	827,162	817,162	625,100	192,062	23.5%
Organized Research and Analysis	707,408	707,408	686,376	21,033	3.0%
Revisor and References	134,680	144,680	139,546	5,134	3.5%
City Clerk					
Administration	465,355	465,355	393,548	71,807	15.4%
Support Services	335,387	335,387	277,596	57,791	17.2%
Council Assistance	1,022,709	1,022,709	863,587	159,122	15.6%
Elections	2,939,805	2,939,805	2,368,532	571,273	19.4%
* Other Grants	-	1,534,235	1,534,235	-	0.0%
City Auditor					
Administration	623,436	623,436	465,082	158,354	25.4%
Audit	757,979	757,979	718,933	39,046	5.2%
Financial Audit	430,000	430,000	430,000	-	0.0%
Miscellaneous					
Retirement System	2,541,963	2,541,963	2,541,963	-	0.0%
FICA	926,999	926,999	755,063	171,936	18.5%
EUTF	2,069,609	2,069,609	2,069,609	-	0.0%
Accumulated Vacation Leave**	300,000	300,000	-	300,000	100.0%
Unemployment Compensation	45,000	45,000	-	45,000	100.0%
TOTAL LEGISLATIVE APPROPRIATIONS	23,832,418	25,366,653	21,517,549	3,849,104	15.2%
TOTAL CITY APPROPRIATIONS	2,938,921,455	3,380,794,533	2,898,530,581	482,263,952	14.3%

** Unlike other activities, for these line items the difference between the original appropriation and the adjusted appropriation is treated as the amount expended because it is the amount transferred to other line items for expenditure. The lapsed amount, then, is the adjusted appropriation. The percent lapsed is computed based on the budgeted appropriation, rather than on the adjusted appropriation.

MAJOR APPROPRIATION LAPSES BY FUND

Table II-4 displays the amount appropriated, expended/encumbered, and lapsed by fund source. The major fund lapses for FY 2021 that were both at least \$5 million and at least ten percent of adjusted appropriations have been highlighted.³

Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
General Fund	1,682,917,680	1,682,917,680	1,509,940,030	172,977,650	10.3%
Highway Fund	146,425,531	146,425,531	127,054,936	19,370,595	13.2%
Sewer Fund	366,853,461	366,853,461	309,828,263	57,025,198	15.5%
Transportation Fund	269,271,894	269,271,894	193,126,163	76,145,731	28.3%
Liquor Commission Fund	7,446,580	7,446,580	6,082,174	1,364,406	18.3%
Bikeway Fund	889,372	889,372	778,301	111,071	12.5%
Highway Beautification and Disposal	5,681,889	5,681,889	5,159,878	522,011	9.2%
Special Events Fund	11,395,311	11,395,311	9,097,203	2,298,108	20.2%
Honolulu Zoo Fund	11,250,153	11,250,153	10,337,028	913,125	8.1%
Golf Fund	16,133,199	16,133,199	14,313,332	1,819,867	11.3%
Solid Waste Special Fund	204,412,318	204,412,318	197,117,603	7,294,715	3.6%
Hanauma Bay Nature Preserve Fund	7,237,496	7,237,496	4,626,768	2,610,728	36.1%
Rental Assistance Fund	233,000	233,000	188,161	44,839	19.2%
Housing Development Special Fund	713,854	713,854	17,787	696,067	97.5%
Clean Water and Natural Lands Fund	244,931	244,931	-	244,931	100.0%
Affordable Housing Fund	447,784	447,784	102,429	345,355	77.1%
Patsy T. Mink CORP Fund	444,800	444,800	-	444,800	100.0%
Waipio Peninsula Soccer Park Fund	112,100	112,100	-	112,100	100.0%
Grants in Aid Fund	9,525,500	9,525,500	9,207,104	318,396	3.3%
Community Development Fund	1,708,718	1,708,718	1,118,446	590,272	34.5%
Housing and Community Development Rehabilitation Loan Fund	3,004,300	3,004,300	496,584	2,507,716	83.5%
Section 8 Contract Fund	62,181,473	68,015,086	64,646,705	3,368,381	5.0%
Federal Grants Fund	110,398,685	536,279,796	418,224,160	118,055,636	22.0%
Special Projects Fund	19,991,426	30,149,780	17,067,602	13,082,178	43.4%
TOTAL	2,938,921,455	3,380,794,533	2,898,530,657	482,263,875	14.3%

³ Grant and special projects funds are excluded from this analysis.

III. Capital Budget

This is a comparison of actual versus budgeted revenues and expenditures for the executive capital budget over the 24-month effective appropriation period for the FY 2020 capital budget that ran from July 1, 2019 through June 30, 2021, beyond which date appropriations that were not yet encumbered or expended lapsed pursuant to Charter.⁴ The review is based on information in the executive capital budget ordinance for the most recent appropriation period (Ordinance 19-14) and an accounting report from the Department of Budget and Fiscal Services for the period ending June 30, 2021.

Overview:

- For FY 2020, the largest appropriation lapse was from the Kapālama Canal Catalytic Project (TOD) where all of the \$50 appropriation lapsed.
- The budget function that experienced the highest rate of appropriation lapses, excluding federal funds, was Utilities or Other Enterprises where 73.1 percent of City funds lapsed.
- Among significant fund sources, the General Improvement Bond Fund had the largest lapsing rate at 54.6 percent.

⁴ Federal grant funds are excluded from this review of lapses because federal appropriations do not lapse when city funds lapse.

A. MAJOR PROJECT LAPSES AND DETAILED RESULTS BY FUNCTION

Table III-1 displays all of the projects in the FY 2020 executive capital budget ordinance. Those projects with an asterisk are Council added projects in the FY 2020 executive capital budget ordinance. For each project, the following information is provided regarding its status at the end of the 24-month period:

"Appropriation" - Total appropriated amount as shown in the budget ordinance.

"Adjusted Appropriation" - The total appropriated amount as it may have been adjusted by any applicable grants, from either State or federal sources, or by transfers to or from other projects. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

"Expended/Encumbered" - The amount of the adjusted appropriation that was expended or encumbered during the 24-month period.

"Lapsed" - The amount that lapsed at the end of the 24-month period and its percentage of the adjusted appropriation.

The major project lapses for FY 2020 have been highlighted based on the following:

- Projects having adjusted appropriations of \$1 million or more from non-State and non-federal funds; and
- Lapses of 60 percent or more of that adjusted appropriation incurred at the end of the 24-month period.

**Table III-1
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021**

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
GENERAL GOVERNMENT							
STAFF AGENCIES							
2019011	Honolulu Authority for Rapid Transit Project Contingency	GI	25,000,000	25,000,000	25,000,000	-	0.0%
1998602	Procurement of Major Equipment	GI	3,035,450	3,035,450	2,313,716	721,734	23.8%
1998602	Procurement of Major Equipment	HI	3,094,000	3,094,000	1,040,274	2,053,726	66.4%
1998602	Procurement of Major Equipment	WB	12,710,106	12,710,106	12,145,604	564,502	4.4%
1979110	Projects Adjustment Account	GI	1,000	381,000	-	381,000	100.0%
1979110	Projects Adjustment Account	HI	1,000	1,000	-	1,000	100.0%
PUBLIC FACILITIES--ADDITIONS AND IMPROVEMENTS							
2017073	Ala Moana Transit Plaza- Transit Oriented Development	GI	45,000,000	45,000,000	30,000,000	15,000,000	33.3%
1996007	Civic Center Improvements	GI	14,000,000	14,000,000	1,976,508	12,023,492	85.9%
2019090	Electrification of Transportation Infrastructure	GI	4,050,000	4,050,000	2,049,336	2,000,664	49.4%
1998007	Energy Conservation Improvements	GI	500,000	500,000	500,000	-	0.0%
2019079	*Iwilei Station Master Plan Improvements	GI	20,000,000	20,000,000	-	20,000,000	100.0%
2020074	Kalihi-Palama Bus Facility Improvements	HI	200,000	200,000	161,907	38,093	19.0%
2015091	*Kapalama Canal Catalytic Project- Transit Oriented Development	GI	50,000,000	50,000,000	-	50,000,000	100.0%
1995006	Kapolei Consolidated Corporation Yard	GI	5,600,000	5,220,000	5,155,063	64,937	1.2%
2018106	*Koolauloa Community Center	GI	500,000	500,000	-	500,000	100.0%
2017072	Laie Corporation Yard Improvements	GI	600,000	600,000	-	600,000	100.0%
2009025	Manana Corporation Yard Improvements	GI	800,000	800,000	194,286	605,714	75.7%
2019092	Medical Examiner Building Improvements and Expansion	GI	350,000	350,000	350,000	-	
2020072	Municipal Parking Facilities Improvement	HI	450,000	450,000	327,000	123,000	27.3%
2004050	National pollutant Discharge Elimination System (NPDES) Modification for Corp Yard	HI	1,000,000	1,000,000	895,661	104,339	10.4%
1987042	Public Building Facilities Improvements	GI	1,500,000	1,500,000	1,327,912	172,089	
	*City Fleets Infrastructure Study	GI	1,000,000	1,000,000	-	1,000,000	100.0%
2019085	Community Development Block Grant Program Infrastructure Upgrade	CD	2,622,000	2,622,000	2,622,000	-	0.0%
2019151	Municipal Facilities Improvements	GI	4,500,000	4,500,000	1,110,970	3,389,030	75.3%
PUBLIC FACILITIES-IMPROVEMENTS--LAND ACQUISITIONS							
1971153	Land Expenses	CP	200,000	200,000	14,000	186,000	93.0%
	General Improvement Bond Fund	GI	176,436,450	176,436,450	69,977,790	106,458,660	60.3%
	Highway Improvement Bond Fund	HI	4,745,000	4,745,000	2,424,842	2,320,158	48.9%
	Solid Waste Improvement Bond Fund	WB	12,710,106	12,710,106	12,145,604	564,502	4.4%
	Capital Projects Funds	CP	200,000	200,000	14,000	186,000	93.0%
	Community Development Fund	CD	2,622,000	2,622,000	2,622,000	-	0.0%
TOTAL GENERAL GOVERNMENT			196,713,556	196,713,556	87,184,236	109,529,320	55.7%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>PUBLIC SAFETY</u>							
POLICE STATIONS AND BUILDINGS							
2015082	HPD Headquarters Improvements	GI	5,000,000	5,000,000	4,105,793	894,207	17.9%
2002025	Police Stations Buildings Improvements	GI	3,000,000	3,000,000	2,612,807	387,193	12.9%
2006034	Upgrade Security Camera Systems at Various Police Facilities	GI	450,000	450,000	450,000	-	0.0%
2005028	Honolulu Police Department Equipment Acquisition	GI	1,860,000	1,860,000	1,749,317	110,683	6.0%
FIRE STATIONS AND BUILDINGS							
1998021	Fire Station Building Improvements	GI	3,000,000	3,000,000	2,513,224	486,776	16.2%
2020073	Kahaluu Fire Station	GI	900,000	900,000	900,000	-	0.0%
2002023	*Waialua Fire Station Relocation	GI	750,000	750,000	-	750,000	100.0%
2020151	HFD Vehicle Maintenance Facility NPDES Small MS4 Permit Program Improvements	GI	2,850,000	2,850,000	2,789,309	60,691	2.1%
	CDBG- Honolulu Fire Department	CD	-	174,000	174,000	-	0.0%
2005021	Honolulu Fire Department Equipment Acquisition	GI	6,322,712	6,322,712	5,213,235	1,109,477	17.5%
TRAFFIC IMPROVEMENTS							
1999311	Computerized Traffic Control System	HI	455,000	455,000	-	455,000	100.0%
1999311	Computerized Traffic Control System	FG	1,600,000	1,600,000	-	1,600,000	100.0%
	*Crosswalk & Traffic Lights- Kakaako	HI	500,000	500,000	-	500,000	100.0%
	*Crosswalk & Traffic Lights- Kalihi Valley	HI	500,000	500,000	-	500,000	100.0%
	*Crosswalk & Traffic Lights- Nuuanu	HI	500,000	500,000	-	500,000	100.0%
	*Crosswalk Installation at Various Locations	HI	500,000	500,000	-	500,000	100.0%
2020028	Oahu Traffic Signal Controller Modernization Phase 1	HI	1,575,000	1,575,000	-	1,575,000	100.0%
2020028	Oahu Traffic Signal Controller Modernization Phase 1	FG	6,280,000	6,280,000	-	6,280,000	100.0%
2010030	Traffic Engineering Devices at Various Locations	HI	500,000	500,000	416,720	83,280	16.7%
1996306	Traffic Improvements at Various Locations	HI	260,000	260,000	206,754	53,246	20.5%
1996306	Traffic Improvements at Various Locations	FG	1,000,000	1,481,352	602,010	879,342	59.4%
1999312	Traffic Signals at Various Locations	HI	1,025,000	1,025,000	358,350	666,650	65.0%
1999312	Traffic Signals at Various Locations	FG	4,300,000	4,300,000	100,243	4,199,758	97.7%
FLOOD CONTROL							
2000101	Flood Control Improvements at Various Locations	GI	8,250,000	8,250,000	2,923,361	5,326,639	64.6%
OTHER PROTECTION							
2017075	Ocean Safety Lifeguard Towers Replacement and Facilities Improvements	GI	250,000	250,000	150,000	100,000	40.0%
OTHER PROTECTION - MISCELLANEOUS							
2005002	Drainage Outfall Improvements	GI	400,000	400,000	227,517	172,483	43.1%
1997504	Kuaheha Street Area Movement, Palolo Valley	GI	1,500,000	1,500,000	-	1,500,000	100.0%
	*Palolo Valley Geological Survey	GI	350,000	350,000	-	350,000	100.0%
2001154	Rock Slide Potential Inspections and Mitigative Improvements	HI	900,000	900,000	900,000	-	0.0%
2002080	Telecommunications Facilities Upgrade	GI	4,760,000	4,760,000	4,133,647	626,353	13.2%
	General Improvement Bond Fund	GI	39,642,712	39,642,712	27,768,211	11,874,501	30.0%
	Highway Improvement Bond Fund	HI	6,715,000	6,715,000	1,881,824	4,833,176	72.0%
	Federal Grants Fund	FG	13,180,000	13,661,352	702,252	12,959,100	94.9%
	Community Development Fund	CD	-	174,000	174,000	-	0.0%
TOTAL PUBLIC SAFETY			59,537,712	60,193,064	30,526,287	29,666,777	49.3%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
HIGHWAYS AND STREETS							
BIKEWAYS AND BIKEPATHS							
1979063	Bikeway Improvements	FG	480,000	480,000	-	480,000	100.0%
1979063	Bikeway Improvements	CP	730,000	730,000	724,000	6,000	0.8%
	*West Loch Bike Path Restoration	HI	1,980,000	1,980,000	-	1,980,000	100.0%
HIGHWAYS, STREETS AND ROADWAYS							
	*Ahuimanu Traffic Calming and Pedestrian Safety	HI	300,000	300,000	-	300,000	100.0%
	*California Avenue Complete Streets Improvements	HI	7,525,000	7,525,000	-	7,525,000	100.0%
1988001	Curb Ramps at Various Locations, Oahu	HI	1,000,000	1,000,000	990,000	10,000	1.0%
1998515	Guardrail Improvements	HI	250,000	250,000	249,115	885	0.4%
	*Kuhina Street Improvements	HI	450,000	450,000	-	450,000	100.0%
	Rail Station Connectivity- Transit Oriented Development	FG	0	800,000	800,000	-	0.0%
1997502	Rehabilitation of Streets	HI	57,650,000	57,650,000	48,023,692	9,626,308	16.7%
	*Road and Intersection Improvements in Nanakuli-Maili	HI	1,000,000	1,000,000	-	1,000,000	100.0%
1989123	Salt Lake Boulevard Widening	HI	23,520,000	23,520,000	23,355,000	165,000	0.7%
1989123	Salt Lake Boulevard Widening	FG	17,000,000	17,000,000	-	17,000,000	100.0%
1989123	Salt Lake Boulevard Widening	CP	2,500,000	2,500,000	-	2,500,000	100.0%
1991064	Utility Share Expenses	CP	100,000	100,000	-	100,000	100.0%
	*Judd Street Sidewalk (Complete Streets)	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	*Kaneohe Bay Drive Sidewalk/Pathway Improvements	GI	100,000	100,000	-	100,000	100.0%
2018087	Reconstruction of Sidewalks	GI	2,510,000	2,510,000	2,505,578	4,422	0.2%
	*Sinkhole Mitigation at Various Locations	GI	3,000,000	3,000,000	-	3,000,000	100.0%
2019026	Intermodal Connectivity Improvements	GI	4,000,000	4,000,000	2,794,723	1,205,277	30.1%
	*Kalaeloa Traffic Improvements	GI	2,000,000	2,000,000	-	2,000,000	100.0%
BRIDGES, VIADUCTS, AND GRADE SEPARATION							
1998520	Bridge Rehabilitation at Various Locations	HI	2,500,000	2,500,000	2,500,000	-	0.0%
	*Kalihi Street Bridge Improvement	HI	2,500,000	2,500,000	-	2,500,000	100.0%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
STORM DRAINAGE							
2000052	Drainage Improvements at Various Locations	HI	2,350,000	2,350,000	1,384,767	965,233	41.1%
2017074	Erosion Control Improvements	HI	5,600,000	5,600,000	3,366,690	2,233,310	39.9%
2019081	Reconstruction of Storm Drain Structures, Kakaako	HI	800,000	800,000	-	800,000	100.0%
2019152	NPDES Improvements Along Kuhio Beach	HI	400,000	400,000	-	400,000	100.0%
2018071	NPDES MS4 Erosion Prone Area Improvements	HI	950,000	950,000	303,224	646,776	68.1%
2018072	NPDES MS4 Retrofit Structural BMP Improvements Program	HI	950,000	950,000	200,000	750,000	78.9%
2020153	NPDES MS4 Structural BMPS For City Industrial Facilities- Kapolei-Waianae	HI	250,000	250,000	-	250,000	100.0%
2020154	NPDES MS4 Structural BMPS For City Industrial Facilities- Pearl City-Ewa	HI	500,000	500,000	-	500,000	100.0%
2018074	NPDES MS4 Structural BMPS For Trash Reduction	HI	450,000	450,000	-	450,000	100.0%
2018075	NPDES MS4 TMDL Structural BMP Improvements Program	HI	1,400,000	1,400,000	-	1,400,000	100.0%
2019074	Rehabilitation/Reconstruction of Storm Drains and Catch Basins	HI	2,000,000	2,000,000	-	2,000,000	100.0%
2018076	Storm Drainage Best Management Practices, Downtown-Chinatown	HI	320,000	320,000	-	320,000	100.0%
2020152	Storm Drainage Best Management Practices in the Vicinity of Wahiawa Reservoir	HI	200,000	200,000	-	200,000	100.0%
2018080	Storm Drainage BMPS, Wailupe-Kuliouou-Niu Stream	HI	300,000	300,000	-	300,000	100.0%
2018082	Storm Drain Outlets Near Ala Wai Canal	HI	300,000	300,000	-	300,000	100.0%
STREET LIGHTING							
2007043	Street Light Meter Cabinets, Transformers and Street Lighting Improvements	HI	500,000	500,000	450,000	50,000	10.0%
	General Improvement Bond Fund	GI	12,610,000	12,610,000	5,300,301	7,309,699	58.0%
	Highway Improvement Bond Fund	HI	115,945,000	115,945,000	80,822,488	35,122,512	30.3%
	Capital Projects Funds	CP	3,330,000	3,330,000	724,000	2,606,000	78.3%
	Federal Grants Fund	FG	17,480,000	18,280,000	800,000	17,480,000	95.6%
TOTAL HIGHWAYS AND STREETS			149,365,000	150,165,000	87,646,788	62,518,212	41.6%

Table III-1 (continued)
 EXECUTIVE CAPITAL BUDGET FOR FY 2020
 24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>SANITATION</u>							
WASTE COLLECTION AND DISPOSAL							
2018046	Enhanced Materials Recovery Facility	WB	3,003,000	3,003,000	2,999,399	3,601	0.1%
	*Kahaluu Transfer Station	WB	500,000	500,000	-	500,000	100.0%
2017050	Kapaa Transfer Station Renovation	WB	4,701,000	4,701,000	4,701,000	-	0.0%
2017047	Kawailoa Transfer Station-Loadout Modification	WB	3,202,000	3,202,000	484,800	2,717,200	84.9%
2014052	Leeward Refuse Collection Baseyard and Convenience Center	WB	7,502,000	7,502,000	7,500,000	2,000	0.0%
2014055	Refuse Facilities Energy Savings Project	WB	2,302,000	2,302,000	2,229,000	73,000	3.2%
2010054	Refuse Facilities Improvements at Various Locations	WB	1,350,000	1,350,000	659,418	690,582	51.2%
2018050	Solid Waste to Energy Facility (H-Power) Conversion Technologies	WB	18,001,000	18,001,000	18,001,000	-	0.0%
2014065	Solid Waste to Energy Facility (H-Power) Improvements	WB	12,690,000	12,690,000	11,861,202	828,798	6.5%
IMPROVEMENT DISTRICT-SEWERS							
1997811	Ewa Beach Sewers, Section 4, Improvement District, TMK: 9-1-01:37-42	CP	501,000	501,000	501,000	-	0.0%
2015053	Kailua Sewers, Section 10, Improvement District	CP	301,000	301,000	301,000	-	0.0%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
SANITATION							
SEWAGE COLLECTION AND DISPOSAL							
2015049	Ala Moana-Kakaako Trunk Sewer	CP	1,002,000	1,002,000	-	1,002,000	100.0%
2015050	Ala Moana Wastewater Pump Station No. 3 and Sewer Improvements	SR	35,002,000	35,002,000	-	35,002,000	100.0%
2013048	Ala Moana Wastewater Pump Station Upgrade	CP	202,000	202,000	-	202,000	100.0%
2011046	Awa Street Wastewater Pump Station, Force Main and Sewer System Improvements	SR	1,003,000	1,003,000	1,003,000	-	0.0%
2016046	Beachwalk Wastewater Pump Station Force Main System	CP	1,003,000	1,003,000	-	1,003,000	100.0%
2017059	Beretania Street Sewer Improvements	CP	3,801,000	3,801,000	3,801,000	-	0.0%
2009099	Dowsett Highlands Relief Sewer	SR	103,000	103,000	101,000	2,000	1.9%
2017053	ENV Support Facilities at Honouliuli WWTP	SR	71,801,000	71,801,000	71,801,000	-	0.0%
2019065	HART Street/Waiakamilo Road Replacement Sewer	CP	8,503,000	8,503,000	7,307,167	1,195,833	14.1%
2017054	Hart Street WWPS Force Main Improvements Phase 3	CP	1,300,000	1,300,000	1,300,000	-	0.0%
2013051	Honolulu Area Wastewater Pump Station Facilities Improvements	CP	5,003,000	5,003,000	2,620,806	2,382,194	47.6%
2012058	Honouliuli Wastewater Treatment Plant Secondary Treatment	SR	1,502,000	1,502,000	1,500,000	2,000	0.1%
2019047	Honouliuli WWTP Headworks, Pump Station, Energy Savings, Solids Process Upgrades and Misc. Improvements	CP	14,001,000	14,001,000	14,001,000	-	0.0%
2019069	Kahuku WWTP-Septage Receiving, SBR, and Sludge Thickening Improvements	CP	4,356,000	4,356,000	-	4,356,000	100.0%
2017058	Kailua Road Wastewater Pump Station Improvements	CP	6,502,000	6,502,000	4,649,531	1,852,469	28.5%
2010048	Kailua Wastewater Treatment Plant Outfall Improvements Rehabilitation	CP	602,000	602,000	602,000	-	0.0%
2017055	Kailua Wastewater Treatment Plant-Solids Handling System Improvements	CP	1,000,000	1,000,000	1,000,000	-	0.0%
2016050	Kailua Wastewater Treatment Plant Upgrade, Phase 2	SR	27,002,000	21,752,000	18,550,300	3,201,700	14.7%
2019071	Kailua WWTP-Primary and Secondary Tank Rehabilitation	CP	1,003,000	1,003,000	-	1,003,000	100.0%
2018058	Kalihi Valley Sewers-Relief/Reconstruction	CP	701,000	701,000	701,000	-	-
2012049	Kamehameha Highway Wastewater Pump Station Force Main System Improvements	SR	203,000	303,000	301,000	2,000	0.7%
2010060	Kamehameha Highway Wastewater Pump Station Upgrade, Mapunapuna	SR	203,000	2,053,000	2,050,000	3,000	0.1%
2013060	Pearl City Wastewater Pump Station, Force Main, and Sewer	SR	103,000	103,000	101,000	2,000	1.9%
2001124	Project Management for Wastewater Projects	CP	8,458,000	8,458,000	5,747,437	2,710,563	32.0%
2015045	Sand Island Wastewater Treatment Plant Energy Improvements	SR	5,002,000	5,002,000	5,000,000	2,000	0.0%
1994511	Sand Island Wastewater Treatment Plant Expansion, Primary Treatment	CP	5,251,000	5,251,000	250,000	5,001,000	95.2%
2012059	Sand Island Wastewater Treatment Plant Secondary Treatment	SR	107,500,000	107,500,000	98,965,985	8,534,015	7.9%
2012059	Sand Island Wastewater Treatment Plant Secondary Treatment	CP	20,001,000	20,001,000	20,001,000	-	0.0%
2018054	Sand Island WWTP Solids System Improvements/Upgrade	SR	3,200,000	6,500,000	6,461,958	38,042	0.6%
2020047	Sand Island WWTP UV Screening Improvements	CP	11,002,000	11,002,000	-	11,002,000	100.0%
2007068	Sewer Condition Assessment Program	CP	2,500,000	2,500,000	2,500,000	-	0.0%
2013065	Sewer I/I Relief and Rehabilitation Projects-Leeward Area	CP	1,004,000	1,004,000	1,001,000	3,000	0.3%
2013066	Sewer I/I Relief and Rehabilitation Projects-Windward Area	CP	1,002,000	1,002,000	101,000	901,000	89.9%
2000071	Sewer Mainline and Lateral Projects	CP	15,300,000	15,300,000	13,966,440	1,333,560	8.7%
2013069	Wahiawa, Whitmore Village and Makakilo Area Wastewater Pump	CP	551,000	551,000	550,000	1,000	0.2%
2020050	Wahiawa WWTP-Effluent System & Storage	CP	9,750,000	9,750,000	-	9,750,000	100.0%
2013067	Waianae Wastewater Treatment Plant Improvements/Upgrade	CP	501,000	501,000	501,000	-	0.0%
2019049	Waianae WWTP Digester 2 Improvements	CP	8,502,000	8,502,000	7,149,803	1,352,197	15.9%
2006055	Waikapaki Wastewater Pump Station Upgrade	SR	102,000	102,000	101,000	1,000	1.0%
2017056	Waimalu Wastewater Pump Station Force Main Station	CP	201,000	201,000	201,000	-	0.0%
2019066	Waimanalo Wastewater Treatment Plant-Solids Systems Improvements	CP	600,000	600,000	600,000	-	0.0%
2012053	Waipahu Wastewater Pump Station Force Main	SR	1,003,000	1,003,000	1,001,000	2,000	0.2%
2000038	Wastewater Equipment	CP	11,427,000	11,427,000	4,833,694	6,593,306	57.7%
1998806	Wastewater Facilities Replacement Reserve	CP	12,000,000	12,000,000	-	12,000,000	100.0%
2003151	Wastewater Planning and Programming	CP	317,000	317,000	242,453	74,547	23.5%
2007073	Wastewater Program Management	CP	1,002,000	1,002,000	1,000,000	2,000	0.2%
2001062	Wastewater Treatment Plant, Pump Station, and Force Main	CP	16,600,000	16,600,000	5,936,272	10,663,728	64.2%
2019051	West Loch Estates WWPS Upgrade	CP	501,000	501,000	501,000	-	0.0%
	Sewer Revenue Bond Improvement Fund	SR	253,729,000	253,729,000	206,937,243	46,791,757	18.4%
	Solid Waste Improvement Bond Fund	WB	53,251,000	53,251,000	48,435,820	4,815,180	9.0%
	Capital Projects Funds	CP	176,251,000	176,251,000	101,866,604	74,384,396	42.2%
	TOTAL SANITATION		483,231,000	483,231,000	357,239,667	125,991,333	26.1%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
 24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>HUMAN SERVICES</u>							
HUMAN SERVICES							
2016001	Affordable Housing Strategic Development Program	CP	7,260,000	7,260,000	7,244,300	15,700	0.2%
2007076	Community Development Block Grant (CDBG) Program	CD	362,272	2,045,279	1,975,525	69,753	3.4%
2007076	Community Development Block Grant (CDBG) Program	RL	400,000	400,000	400,000	-	0.0%
2017002	*Community Revitalization Initiative	GI	21,000,000	21,000,000	815,000	20,185,000	96.1%
1995207	Emergency Solutions Grants (ESG) Program	FG	630,672	785,135	785,135	-	0.0%
2007077	Home Investment Partnerships (HOME) Program	FG	472,000	1,011,972	539,972	472,000	46.6%
	*Homeless Service Zones for the Waianae Coast	GI	2,000,000	2,000,000		2,000,000	100.0%
2000119	Housing Opportunities for Persons with AIDs (HOPWA) Program	FG	616,556	617,241	616,842	399	0.1%
	*Koolauloa Affordable Housing	GI	400,000	400,000	-	400,000	100.0%
	*Affordable Housing	GI	20,000,000	20,000,000	10,548,979	9,451,021	47.3%
2018002	*Affordable Housing with Preference in Transit Oriented Zones Program	GI	50,000,000	50,000,000	42,644,146	7,355,854	14.7%
	General Improvement Bond Fund	GI	93,400,000	93,400,000	54,008,125	39,391,875	42.2%
	Capital Projects Funds	CP	7,260,000	7,260,000	7,244,300	15,700	0.2%
	Community Development Fund	CD	362,272	2,045,279	1,975,525	69,753	3.4%
	Federal Grants Fund	FG	1,719,228	2,414,348	1,941,949	472,399	19.6%
	Housing and Community Development Rehabilitation Loan Fund	RL	400,000	400,000	400,000	-	0.0%
	TOTAL HUMAN SERVICES		103,141,500	105,519,627	65,569,900	39,949,726	37.9%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>CULTURE - RECREATION</u>							
PARTICIPANT, SPECTATOR AND OTHER RECREATION							
	*Aala Park Improvements	GI	1,000,000	1,000,000	-	1,000,000	100.0%
1998107	Ala Moana Regional Park	GI	3,664,670	3,664,670	3,664,670	-	0.0%
1998107	Ala Moana Regional Park	CP	335,330	335,330	335,330	-	0.0%
	*Bay View Dog Park	GI	100,000	100,000	-	100,000	100.0%
2013088	*Canoe Halau at Various Parks	GI	1,500,000	1,500,000	-	1,500,000	100.0%
2019084	Community Development Block Grant Program Park Improvements	CD	4,466,500	4,466,500	4,432,782	33,718	0.8%
2007054	Department of Parks and Recreation NPDES Small MS4 Permit Program	GI	2,160,000	2,160,000	2,160,000	-	0.0%
2018088	*Dole Community Park	GI	250,000	250,000	-	250,000	100.0%
	*Downtown-Ala Moana-Kakaako Dog Park	GI	150,000	150,000	-	150,000	100.0%
1985053	*Ewa Mahiko District Park	GI	460,000	460,000	-	460,000	100.0%
	*Ewa Plantation Manager's Mansion	GI	3,500,000	3,500,000	-	3,500,000	100.0%
	*Geiger Community Park	GI	300,000	300,000	-	300,000	100.0%
2018092	*Hahaione Neighborhood Park	GI	250,000	250,000	-	250,000	100.0%
2016101	Hanauma Bay Nature Preserve	CP	1,800,000	1,800,000	372,350	1,427,650	79.3%
2018093	*Hoa Aloha Neighborhood Park Improvements	GI	390,000	390,000	-	390,000	100.0%
	*Jarand M.Y. Iwase Tennis Complex Improvements	GI	300,000	300,000	-	300,000	100.0%
2016096	*John K. Kalili Surf Center Improvements at Haleiwa Alii Beach Park	GI	1,500,000	1,500,000	1,023,000	477,000	31.8%
	*Kahaluu Regional Park	GI	500,000	500,000	-	500,000	100.0%
	*Kailua Beach Park Boat Ramp	GI	25,000	25,000	-	25,000	100.0%
	*Kaimuki Community Park	GI	950,000	950,000	853,177	96,823	10.2%
	*Kaimuki Tree Demonstration Project	GI	500,000	500,000	-	500,000	100.0%
2018095	*Kalaniana'ole Beach Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	*Kalihi-Waena Neighborhood Park	GI	390,000	390,000	-	390,000	100.0%
	*Kaneohe Beach Park	GI	100,000	100,000	-	100,000	100.0%
	*Kaneohe District Park	GI	50,000	50,000	-	50,000	100.0%
1998100	Kapiolani Regional Park, Waikiki	CP	584,050	584,050	-	584,050	100.0%
1973116	Kapolei Regional Park	GI	500,000	500,000	111,341	388,659	77.7%
1973116	Kapolei Regional Park	CP	196,821	196,821	-	196,821	100.0%
	*Kaupuni Neighborhood Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	*Koko Head District Park Community Center	GI	800,000	800,000	-	800,000	100.0%
2018096	*Koko Head District Park Gymnasium Floor Improvements	GI	550,000	550,000	-	550,000	100.0%
	*Koko Head Shooting Complex	GI	2,300,000	2,300,000	-	2,300,000	100.0%
2014093	Kualoa Regional Park	GI	1,705,000	1,705,000	880,777	824,223	48.3%
2014093	Kualoa Regional Park	CP	169,763	169,763	169,763	-	0.0%
	*Liliuokalani Botanical Garden Safety Fence Improvements	GI	70,000	70,000	-	70,000	100.0%
2018097	*Makalapa Neighborhood Park Improvements	GI	390,000	390,000	-	390,000	100.0%
	*Makalapa Neighborhood Park Safety Fence Improvements	GI	335,000	335,000	-	335,000	100.0%
	*Makiki District Park Master Plan	GI	100,000	100,000	-	100,000	100.0%
	*Manoa Valley District Park Facilities Improvements	GI	350,000	350,000	-	350,000	100.0%
	*Maunawili Valley Neighborhood Park	GI	25,000	25,000	-	25,000	100.0%
	*Middle Loch Park	GI	100,000	100,000	-	100,000	100.0%
	*Mililani Mauka District Park Improvements	GI	5,500,000	5,500,000	-	5,500,000	100.0%
	*Mitigative Improvements at Salt Lake District Park and Ala Puumalu Community Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	*Mokauea Mini Park Improvements	GI	390,000	390,000	-	390,000	100.0%
	*Old Stadium Park Master Plan	GI	250,000	250,000	-	250,000	100.0%
1995127	*Oneula Beach Park	GI	5,000,000	5,000,000	-	5,000,000	100.0%
1998180	*Palolo Valley District Park	GI	250,000	250,000	-	250,000	100.0%
2014113	Patsy T. Mink Central Oahu Regional Park-Parking Expansion	GI	1,600,000	1,600,000	393,699	1,206,301	75.4%
2014113	Patsy T. Mink Central Oahu Regional Park-Parking Expansion	CP	21,300	21,300	-	21,300	100.0%
	*Patsy T. Mink Corp Softball Field Lights	GI	100,000	100,000	-	100,000	100.0%
	*Patsy T. Mink Corp Swimming Pool Lights	GI	575,000	575,000	-	575,000	100.0%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
2015104	*Pearl Harbor Historic Trail	GI	1,100,000	1,100,000	-	1,100,000	100.0%
	*Pearlridge Community Park	GI	1,350,000	1,350,000	737,664	612,336	45.4%
2018101	*Pokai Bay Beach Park	GI	500,000	500,000	-	500,000	100.0%
2009041	Preservation and Conservation Lands	CP	11,700,000	11,700,000	1,250,000	10,450,000	89.3%
1998105	Reconstruction Wastewater Systems for Parks	GI	2,000,000	2,000,000	1,533,114	466,886	23.3%
2002072	Renovate Recreational Facilities	GI	11,900,000	11,900,000	10,733,737	1,166,263	9.8%
2002072	Renovate Recreational Facilities	CP	706,134	706,134	403,046	303,088	42.9%
	*Salt Lake District Park Improvements	GI	2,000,000	2,000,000	-	2,000,000	100.0%
	*Sheridan Community Park Multi-Purpose Building Improvements	GI	500,000	500,000	-	500,000	100.0%
2016081	*Wahiawa District Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
1998117	Waikiki War Memorial Complex/Waikiki Beach	GI	310,000	310,000	310,000	-	0.0%
2009044	*Waimanalo Bay Beach Park	GI	100,000	100,000	-	100,000	100.0%
	*Waimanalo Beach Park	GI	500,000	500,000	-	500,000	100.0%
2011113	*Waimanalo District Park	GI	1,100,000	1,100,000	-	1,100,000	100.0%
	*Waimanalo District Park-Skate Park	GI	250,000	250,000	-	250,000	100.0%
	*Waipahu Uka Neighborhood Park	GI	250,000	250,000	-	250,000	100.0%
1992121	*Whitmore Gym Improvements	GI	3,500,000	3,500,000	-	3,500,000	100.0%
<u>SPECIAL RECREATION FACILITIES</u>							
2014076	Blaisdell Center Redevelopment	GI	12,150,000	12,150,000	-	12,150,000	100.0%
2007019	Department of Enterprise Services Golf Course NPDES Small MS4 Permit Program	GI	5,060,000	5,060,000	5,059,862	138	0.0%
2001053	Golf Course Improvements	GI	1,000,000	1,000,000	180,779	819,221	81.9%
2017078	Honolulu Zoo-Hawaii Island Exhibit	GI	200,000	200,000	-	200,000	100.0%
2001097	Honolulu Zoo Improvements	GI	2,200,000	2,200,000	2,198,375	1,625	0.1%
2017077	Honolulu Zoo Pries Building Renovation	GI	1,600,000	1,600,000	1,600,000	-	0.0%
	*Honolulu Zoo Water System Upgrade	GI	3,200,000	3,200,000	-	3,200,000	100.0%
2013081	Waikiki Shell	GI	900,000	900,000	701,613	198,387	22.0%
	General Improvement Bond Fund	GI	94,599,670	94,599,670	32,141,808	62,457,862	66.0%
	Capital Projects Funds	CP	15,513,398	15,513,398	2,530,489	12,982,909	83.7%
	Community Development Fund	CD	4,466,500	4,466,500	4,432,782	33,718	0.8%
TOTAL CULTURE - RECREATION			114,579,568	114,579,568	39,105,079	75,474,489	65.9%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2020
24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>UTILITIES OR OTHER ENTERPRISES</u>							
MASS TRANSIT							
1978005	Bus and Handi-Van Acquisition Program	HI	34,360,000	34,360,000	9,333,746	25,026,254	72.8%
1978005	Bus and Handi-Van Acquisition Program	FG	25,440,000	25,440,000	24,192,793	1,247,207	4.9%
2001116	Bus Stop ADA Access and Site Improvements	HI	437,000	437,000	33,500	403,500	92.3%
2001116	Bus Stop ADA Access and Site Improvements	FG	145,000	145,000	-	145,000	100.0%
2008036	Transit Safety and Security Projects	HI	4,000	4,000	4,000	-	0.0%
2008036	Transit Safety and Security Projects	FG	364,000	364,000	300,200	63,800	17.5%
	Highway Improvement Bond Fund	HI	34,801,000	34,801,000	9,371,246	25,429,754	73.1%
	Federal Grants Fund	FG	25,949,000	25,949,000	24,492,993	1,456,007	5.6%
TOTAL UTILITIES OR OTHER ENTERPRISES			60,750,000	60,750,000	33,864,238	26,885,762	44.3%

B. MAJOR PROJECT ADJUSTMENTS

In Table III-2 below, major project adjustments for FY 2020 have been highlighted, based on the detailed information in Table III-1. Adjustments either increase or decrease the authorized appropriation for a project. Authority in the budget ordinance for such adjustments include the Project Adjustments Account (a project contained in the General Government function, Staff Agencies program) and a related proviso which allows the unused portion of a project appropriation to be transferred to another project for which appropriations are less than needed, a proviso relating to limited purpose monies, and a proviso allowing sewer project transfers to protect public health and safety or to meet federal or State requirements.

Projects having an adjustment of \$1,000,000 or more, up or down, to the initial appropriation established by ordinance are included in the following table, comparing the original appropriation to the amount of the adjustment.

Table III-2. Major Project Adjustments

Function	Project	Ord 19-14 Appropriation	Adjusted Appropriation
Sanitation	Kailua Wastewater Treatment Plant Upgrade, Phase 2	\$27,002,000	\$21,752,000
Sanitation	Sand Island WWTP Solids System Improvements/ Upgrade	\$3,200,000	\$6,500,000
Human Services	Community Development Block Grant (CDBG) Program (CD funds)	\$362,272	\$2,045,279

C. SUMMARY OF RESULTS

In Table III-3 below, the results discussed in the preceding chapters are summarized by budget function (e.g. General Government, Public Safety, etc.) and by fund source. Among other things, this summary reveals which budget functions and fund sources have been subject to large lapses in appropriations.

As shown in the table, among budget function areas, the Utilities or Other Enterprises function had the largest relative lapses at 73.1 percent of adjusted appropriations (Excluding Federal Grants). This is primarily due to the amount of funding that lapsed from the Bus and Handi-Van Acquisition Program project funded from the highway improvement bond fund, which lapsed funding totaled over \$25 million in appropriations. Among fund sources, the general improvement bond fund had the largest total lapse of all the fund sources at \$227.5 million.

		Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
General Government						
General Improvement Bond Fund	GI	176,436,450	176,436,450	69,977,790	106,458,660	60.3%
Highway Improvement Bond Fund	HI	4,745,000	4,745,000	2,424,842	2,320,158	48.9%
Solid Waste Improvement Bond Fund	WB	12,710,106	12,710,106	12,145,604	564,502	4.4%
Capital Projects Fund	CP	200,000	200,000	14,000	186,000	93.0%
Community Development Fund	CD	2,622,000	2,622,000	2,622,000	-	0.0%
Total		196,713,556	196,713,556	87,184,236	109,529,320	55.7%
Public Safety						
General Improvement Bond Fund	GI	39,642,712	39,642,712	27,768,211	11,874,501	30.0%
Highway Improvement Bond Fund	HI	6,715,000	6,715,000	1,881,824	4,833,176	72.0%
Federal Grants Fund	FG	13,180,000	13,661,352	702,252	12,959,100	94.9%
Community Development Fund	CD	-	174,000	174,000	-	0.0%
Total		59,537,712	60,193,064	30,526,287	29,666,777	49.3%
Highways and Streets						
General Improvement Bond Fund	GI	12,610,000	12,610,000	5,300,301	7,309,699	58.0%
Highway Improvement Bond Fund	HI	115,945,000	115,945,000	80,822,488	35,122,512	30.3%
Capital Projects Funds	CP	3,330,000	3,330,000	724,000	2,606,000	78.3%
Federal Grant	FG	17,480,000	18,280,000	800,000	17,480,000	95.6%
Total		149,365,000	150,165,000	87,646,788	62,518,212	41.6%
Sanitation						
Sewer Revenue Bond Improvement Fund	SR	253,729,000	253,729,000	206,937,243	46,791,757	18.4%
Solid Waste Improvement Bond Fund	WB	53,251,000	53,251,000	48,435,820	4,815,180	9.0%
Capital Projects Funds	CP	176,251,000	176,251,000	101,866,604	74,384,396	42.2%
Total		483,231,000	483,231,000	357,239,667	125,991,333	26.1%

Table III-3 (continued)
Executive Capital Budget FY 2020
Summary of Results by Function and Fund

		Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Human Services						
General Improvement Bond Fund	GI	93,400,000	93,400,000	54,008,125	39,391,875	42.2%
Capital Projects Funds	CP	7,260,000	7,260,000	7,244,300	15,700	0.2%
Community Development Fund	CD	362,272	2,045,279	1,975,525	69,753	3.4%
Federal Grants Fund	FG	1,719,228	2,414,348	1,941,949	472,399	19.6%
Housing and Community Development Rehabilitation Loan Fund	RL	400,000	400,000	400,000	-	0.0%
Total		103,141,500	105,519,627	65,569,900	39,949,726	37.9%
Culture-Recreation						
General Improvement Bond Fund	GI	94,599,670	94,599,670	32,141,808	62,457,862	66.0%
Capital Projects Funds	CP	15,513,398	15,513,398	2,530,489	12,982,909	83.7%
Community Development Fund	CD	4,466,500	4,466,500	4,432,782	33,718	0.8%
Total		114,579,568	114,579,568	39,105,079	75,474,489	65.9%
Utilities or Other Enterprises						
Highway Improvement Bond Fund	HI	34,801,000	34,801,000	9,371,246	25,429,754	73.1%
Federal Grants Fund	FG	25,949,000	25,949,000	24,492,993	1,456,007	5.6%
Total		60,750,000	60,750,000	33,864,238	26,885,762	44.3%
All Functions						
Capital Projects Funds	CP	202,554,398	202,554,398	112,379,394	90,175,004	44.5%
Community Development Fund	CD	7,450,772	9,307,779	9,204,307	103,471	1.1%
Federal Grants Fund	FG	58,328,228	60,304,700	27,937,194	32,367,506	53.7%
General Improvement Bond Fund	GI	416,688,832	416,688,832	189,196,234	227,492,598	54.6%
Highway Improvement Bond Fund	HI	162,206,000	162,206,000	94,500,399	67,705,601	41.7%
Housing and Community Development Rehabilitation Loan Fund	RL	400,000	400,000	400,000	-	0.0%
Sewer Revenue Bond Improvement Fund	SR	253,729,000	253,729,000	206,937,243	46,791,757	18.4%
Solid Waste Improvement Bond Fund	WB	65,961,106	65,961,106	60,581,424	5,379,682	8.2%
Grand Total		1,167,318,336	1,171,151,814	701,136,195	470,015,619	40.1%

IV. APPENDIX: DATA SOURCES

Chapter II

General Fund Overview.	General Fund, Schedule of Revenues, Expenditures, and Changes in Fund Balance; General Fund, Schedule of Revenues, Budget and Actual; and General Fund, Budgetary Comparison Schedule; from Annual Comprehensive Financial Report, City and County of Honolulu.
Table II-1.	ACFR General Fund, Schedule of Revenues, Budget and Actual.
Table II-2.	Major Appropriation Lapses by Activity. Executive operating budget ordinance, 99-PA, City and County of Honolulu Enterprise Resource Planning System.
Table II-3.	Legislative Budget. Legislative budget ordinance, 99-PA, 99-PQ, City and County of Honolulu Enterprise Resource Planning System.
Table II-4.	Executive and Legislative Budget Summary of Results by Fund. Executive operating and legislative budget ordinances, 99-PA, City and County of Honolulu Enterprise Resource Planning System.

Chapter III

Table III-1.	Executive Capital Budget. 99-PA, CIP Prior, City and County of Honolulu Enterprise Resource Planning System; Executive capital budget ordinance.
Table III-2.	Major Project Adjustments. Excerpts from Table III-1; Executive capital budget ordinance.
Table III-3.	Executive Capital Budget. Summary of Results by Function and Fund. 99-PA, 99-PQ, CIP Prior, City and County of Honolulu Enterprise Resource Planning System.