



Status of the City's Finances

2021

OCS



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I. Introduction

We are pleased to transmit to the Honolulu City Council the *Status of the City's Finances* for 2021. Each year, the Office of Council Services (OCS) prepares this brief to aid Councilmembers during the City's annual budget cycle. The 2021 report presents the following: (1) details about the status of the operating budget for the prior fiscal year; and (2) the status of capital projects for the most recently ended appropriation period.

We hope that you find the information provided useful during the FY 2022 budget cycle.

II. Operating Budgets

This is a comparison of actual versus budgeted revenues and expenditures for the executive operating budget. This review covers all of fiscal year ("FY") 2020 (ending on June 30, 2020) and is based on the information in the FY 2021 Executive Program and Budget documents, the legislative and executive operating budget ordinances for FY 2020 (Ordinances 19-12 and 19-13, respectively), unaudited financial statements for FY 2020, and the December 2020 accounting reports for FY 2021 from the Department of Budget and Fiscal Services. The review of expenditures includes those from all fund sources, while the review of revenues only covers general fund revenues.

Overview:

- In FY 2020, the largest general fund revenue variance (that was more than \$1 million and five percent between actual and budgeted revenue amounts) was because approximately \$5 million was not collected in Sundry Refunds.
- Also in FY 2020, the largest appropriation lapse was over \$88.2 million lapsing from the \$586.2 million adjusted appropriation for City and County Bonds.

A. REVIEW OF FY 2020

GENERAL FUND OVERVIEW

Overall, for FY 2020, actual general fund revenues were \$118 million more than the \$1.9 billion estimated in the budget (these figures include revenues, other financing sources (e.g. sale of assets), transfers in, and unreserved fund balance from prior year). Actual general fund expenditures for executive and legislative departments, miscellaneous expenses and debt service totaled \$126.8 million lower than the \$1.9 billion budgeted (these figures include expenditures from departments, miscellaneous expenditures, and debt service; other financing uses; and transfers out. The actual unreserved and undesignated fund balance at the end of FY 2020 totaled \$247.5 million more than the budgeted fund balance of \$6.3 million. The \$253.8 million ending fund balance computes to a favorable ratio of 14.3 percent compared to FY 2020 expenditures.¹

SIGNIFICANT REVENUE VARIANCES FOR GENERAL FUND

The following table shows general fund revenue sources with a variance of both \$1 million and five percent or more between actual and budgeted revenue amounts for FY 2020. Negative amounts mean actual revenues were below the amounts budgeted.

**Table II-1. FY 2020 Major
General Fund Revenue Variances**

General Fund Revenue Source	Variance From Budget	Percent of Budgeted Amount
Building Permits	\$1,213,000	6.7%
Emergency Ambulance Services Reimbursements	(\$3,179,000)	-7.0%
Motor Vehicle Annual Registration Permit	(\$1,086,000)	-7.3%
Motor Vehicle Drivers' License	(\$1,373,000)	-24.1
Public Service Company Tax	\$4,518,000	11.9%
Sundry Refunds	\$4,987,000	557.2%
Transient Accommodation Tax	(\$7,571,000)	-16.7%

¹ The Government Finance Officers Association, a standard-setting professional association, recommends an unreserved fund balance in the general fund of no less than five to 15 percent of operating revenues.

DETAILED EXPENDITURE RESULTS BY ACTIVITY

Table II-2 displays expenditure results of activities in the FY 2020 executive operating budget ordinance, listed by agency. Table II-3 displays expenditure results of activities in the FY 2020 legislative budget ordinance, listed by agency. In addition, if accounting reports show that an activity received state or federal funds that were not appropriated, that funding is shown in the "Other Grants" line-item for the appropriate agency. For each activity, the amounts appropriated, expended/encumbered, and lapsed in the fiscal year are shown. Included is the percentage of the activity's appropriation that the lapsed amount represents. Activities where the lapsed amount equaled or exceeded both \$1 million and five percent of the adjusted appropriation are highlighted, but only if the lapsed amount and percentage continue to meet the criteria after excluding grant funds from any source.² For each activity, the following information is provided regarding its status at the end of the fiscal year:

"Appropriation" - Total appropriated amount as shown in the budget ordinance.

"Adjusted Appropriation" - The total appropriated amount as it may have been adjusted by any transfers and grants, from either State or federal sources. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

"Expended/Encumbered" - The amount of the adjusted appropriation that was expended or encumbered during the fiscal year.

"Lapsed" - The amount that lapsed at the end of the fiscal year and its percentage of the adjusted appropriation.

² Unbudgeted grant and special projects funds are excluded.

Table II-2
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2020, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Mayor					
Administration	740,676	767,924	753,818	14,106	1.8%
Contingency	30,000	30,000	10,324	19,676	65.6%
Other Grants*	-	10,000	-	10,000	100.0%
Managing Director					
City Management	2,048,244	2,156,796	2,092,196	64,600	3.0%
Culture and the Arts	550,298	553,298	525,155	28,143	5.1%
Neighborhood Commission	759,846	759,846	734,486	25,360	3.3%
Office of Housing	226,560	236,896	177,628	59,269	25.0%
Office of Climate Change, Sustainability and Resiliency	1,268,996	1,278,283	1,258,423	19,860	1.6%
Royal Hawaiian Band	2,514,644	2,641,093	2,561,353	79,740	3.0%
Other Grants*	-	636,256	573,617	62,638	9.8%
Department of Customer Services					
Administration	641,987	684,855	675,192	9,663	1.4%
Public Communication	1,417,406	1,543,490	1,441,990	101,500	6.6%
Satellite City Hall	4,537,163	4,821,986	4,772,950	49,036	1.0%
Motor Vehicle, Licensing and Permits	18,743,910	19,243,102	18,492,763	750,339	3.9%
Department of Budget and Fiscal Services					
Administration	1,493,401	1,493,401	1,293,629	199,772	13.4%
Internal Control	485,692	515,596	512,057	3,539	0.7%
Fiscal/CIP Administration	1,494,182	1,569,926	1,448,557	121,369	7.7%
Budgetary Administration	901,421	963,425	903,226	60,199	6.2%
Accounting and Fiscal Services	5,627,968	5,942,413	4,713,478	1,228,935	20.7%
Purchasing and General Services	1,772,611	1,975,334	1,940,095	35,239	1.8%
Real Property	6,152,054	6,570,945	6,209,304	361,641	5.5%
Treasury	3,093,895	3,236,328	2,836,351	399,977	12.4%
Liquor Commission	4,476,120	4,476,120	3,648,462	827,658	18.5%
Other Grants*	-	4,063	4,063	1	0.0%
Department of Information Technology					
Administration	20,144,472	20,144,472	19,045,696	1,098,776	5.5%
Applications	2,326,188	2,475,682	2,345,940	129,741	5.2%
Technical Support	1,359,080	1,572,026	1,532,846	39,179	2.5%
Operations	1,483,799	1,699,314	1,602,559	96,755	5.7%
ERP-CSR	2,627,875	2,739,481	2,651,316	88,166	3.2%
Communications and Network	1,303,015	1,379,433	1,375,499	3,934	0.3%
Other Grants*	-	1,326,500	1,003,367	323,133	24.4%
Department of the Corporation Counsel					
Legal Services	9,812,265	10,272,170	9,847,367	424,803	4.1%
Ethics Commission	423,444	453,552	413,920	39,632	8.7%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2020, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Department of the Prosecuting Attorney					
Administration	6,211,851	6,157,827	5,964,416	193,411	3.1%
Prosecution	14,535,468	16,614,807	15,494,652	1,120,155	6.7%
Victim/Witness Assistance	2,115,157	3,307,741	2,781,542	526,199	15.9%
Department of Human Resources					
Administration	1,464,765	1,464,765	1,360,173	104,592	7.1%
Employment and Personnel Services	2,169,529	2,169,529	1,921,631	247,898	11.4%
Classification and Pay	780,678	825,408	818,681	6,727	0.8%
Industrial Safety and Workers' Compensation	1,376,492	1,411,224	1,368,703	42,521	3.0%
Relations and Training	1,395,907	1,475,047	1,353,813	121,234	8.2%
Department of Planning and Permitting					
Administration	7,257,587	7,302,387	5,551,404	1,750,983	24.0%
Site Development	3,884,740	4,378,875	4,326,816	52,059	1.2%
Land Use Permits	1,701,163	1,980,568	1,933,998	46,570	2.4%
Planning	3,578,306	3,896,805	3,266,082	630,723	16.2%
Customer Service Office	3,846,954	4,309,147	4,070,102	239,045	5.5%
Building	5,668,152	7,070,988	6,967,817	103,171	1.5%
Other Grants*	-	383,977	338,950	45,027	11.7%
Department of Facility Maintenance					
Administration	11,745,924	12,183,023	11,053,309	1,129,714	9.3%
Road Maintenance	31,452,778	33,630,321	29,904,080	3,726,241	11.1%
Public Building and Electrical Maintenance	33,404,283	34,696,026	32,979,774	1,716,252	4.9%
Automotive Equipment Services	18,206,092	19,134,558	19,098,904	35,654	0.2%
Other Grants*	-	62,389	62,389	-	0.0%
Department of Design and Construction					
Administration	2,338,961	2,542,835	2,452,219	90,616	3.6%
Project and Construction Mgmt.	12,139,007	13,103,882	11,927,583	1,176,299	9.0%
Land Services	2,717,537	3,089,011	3,032,078	56,933	1.8%
Department of Land Management					
Administration	3,473,764	4,215,390	3,579,158	636,232	15.1%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2020, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Police Department					
Police Commission	508,030	579,833	552,000	27,833	4.8%
Office of the Chief of Police	8,507,726	8,383,851	8,217,651	166,200	2.0%
Patrol	164,888,344	177,095,408	174,575,963	2,519,445	1.4%
Special Field Operations	13,994,125	14,419,100	14,407,257	11,843	0.1%
Investigations	43,194,701	43,960,376	42,875,725	1,084,651	2.5%
Support Services	35,718,058	32,257,933	30,324,197	1,933,736	6.0%
Administrative Services	35,390,321	30,916,918	29,277,506	1,639,412	5.3%
Other Grants*	-	6,625,177	5,987,355	637,822	9.6%
Fire Department					
Fire Commission	71,626	71,626	7,122	64,504	90.1%
Administration	3,345,795	3,345,795	3,167,464	178,331	5.3%
Fire Communication Center	4,036,126	4,129,126	3,910,280	218,846	5.3%
Fire Prevention	5,328,590	5,659,590	5,659,590	-	0.0%
Mechanic Shop	2,903,698	3,135,698	3,115,732	19,966	0.6%
Training and Research	3,591,427	3,646,427	3,507,574	138,853	3.8%
Radio Shop	3,599,894	3,610,894	3,600,380	10,514	0.3%
Fire Operations	114,823,573	114,095,573	111,300,902	2,794,671	2.4%
Planning and Development	1,029,149	1,029,149	1,015,205	13,944	1.4%
City Radio System	306,507	312,507	310,785	1,722	0.6%
Other Grants*	-	2,936,433	1,527,943	1,408,490	48.0%
Department of Emergency Services					
Administration	749,430	862,518	852,794	9,724	1.1%
Emergency Medical Services	38,176,929	38,176,929	35,791,335	2,385,594	6.2%
Ocean Safety	15,424,036	17,222,113	16,244,942	977,171	5.7%
Health Services	955,413	1,046,332	935,518	110,814	10.6%
Other Grants*	-	56,876	1,600	55,275	97.2%
Department of Emergency Management					
Emergency Management Coordination	1,726,197	1,790,145	1,204,728	585,417	32.7%
Other Grants*	-	393,831,355	389,205,366	4,625,989	1.2%
Department of the Medical Examiner					
Investigation of Deaths	2,762,643	2,985,771	2,886,267	99,504.50	3.3%
Other Grants*	-	121,000	-	121,000.00	100.0%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2020, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Department of Community Services					
Administration	681,439	706,439	687,066	19,373	2.7%
Office of Grants Management	10,127,527	10,180,483	9,796,450	384,033	3.8%
Elderly Services	15,989,878	16,766,780	8,279,587	8,487,193	50.6%
WorkHawaii	11,149,495	14,022,045	7,247,022	6,775,023	48.3%
Community Assistance	64,150,955	68,619,776	64,321,077	4,298,699	6.3%
Community Based Development	14,627,511	16,937,340	13,694,182	3,243,158	19.1%
Other Grants*	-	52,882	-	52,882	100.0%
Department of Parks and Recreation					
Administration	2,439,403	2,768,528	2,541,100	227,428	8.2%
Urban Forestry	12,340,493	12,588,084	12,046,549	541,535	4.3%
Maintenance Support Services	19,902,380	20,199,042	11,087,430	9,111,612	45.1%
Recreation Services	24,547,926	28,657,158	26,468,322	2,188,836	7.6%
Grounds Maintenance	40,171,189	41,545,312	38,832,446	2,712,866	6.5%
Other Grants*	-	652,591	19,345	633,246	97.0%
Department of Enterprise Services					
Administration	1,524,603	1,571,157	1,020,897	550,260	35.0%
Auditoriums	6,358,593	6,584,195	5,989,704	594,491	9.0%
Honolulu Zoo	7,367,262	7,810,313	7,476,945	333,368	4.3%
Golf Courses	10,408,186	10,992,608	10,311,209	681,399	6.2%
Other Grants*	-	109,355	14,306	95,050	86.9%
Department of Transportation Services					
Administration	2,024,665	2,358,075	1,568,287	789,788	33.5%
Transportation Planning	9,229,797	9,356,217	8,529,031	827,186	8.8%
Traffic Engineering	4,216,606	5,095,129	4,528,010	567,119	11.1%
Traffic Signals and Technology	6,499,513	6,574,245	5,300,036	1,274,209	19.4%
Public Transit	291,647,930	296,927,072	285,303,741	11,623,331	3.9%
Other Grants*	-	2,515,984	2,512,654	3,329	0.1%
Department of Environmental Services					
Administration	11,431,888	11,431,888	9,196,273	2,235,615	19.6%
Refuse Collection and Disposal	166,787,409	168,473,437	162,730,265	5,743,172	3.4%
Environmental Quality	10,074,206	10,074,206	8,153,246	1,920,960	19.1%
Collection System Maintenance	25,668,036	25,668,036	19,874,389	5,793,647	22.6%
Wastewater Engineering and Collection	1,767,364	1,767,364	1,212,916	554,448	31.4%
Treatment and Disposal	78,676,052	78,676,052	66,845,905	11,830,147	15.0%
*Other Grants	-	400,000	400,000	-	0.0%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2020, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Debt Service and Miscellaneous					
City and County Bonds	586,253,300	586,253,300	497,995,309	88,257,991	15.1%
County Pension	5,000	5,000	4,451	549	11.0%
Retirement System	234,381,000	234,381,000	194,313,799	40,067,201	17.1%
FICA	35,857,000	35,857,000	30,521,145	5,335,855	14.9%
Hawaii Employer-Union Health Benefits Trust Fund	75,813,000	75,813,000	56,839,382	18,973,618	25.0%
Workers' Compensation	18,530,000	18,530,000	16,536,959	1,993,041	10.8%
Unemployment Compensation	800,000	800,000	258,508	541,492	67.7%
Provision for Other Post-Employment Benefits	183,677,000	183,677,000	183,677,000	-	0.0%
Salary Adjustments and Accrued Vacation Pay**	16,148,855	5,991,905	10,156,950	5,991,905	37.1%
Provision for Vacant Positions**	30,703,856	9,982,857	20,720,999	9,982,857	32.5%
Provision for Grants, Partnerships and Security**	2,000,000	768,192	1,231,808	768,192	38.4%
Provision for Judgments, Settlements and Losses	12,200,000	12,200,000	1,875,474	10,324,526	84.6%
Risk Management	12,932,703	12,932,703	12,589,803	342,900	2.7%
Provision for Energy Costs**	4,800,000	4,800,000	-	4,800,000	100.0%
TOTAL EXECUTIVE APPROPRIATIONS	2,834,866,665	3,263,958,735	2,950,069,301	313,889,434	9.6%

* Other Grants reflects single purpose monies received from the state and federal governments. Although they are allocated for specific activities within the department, they are broken out into the Other Grants separate line item if those activities did not have any state or federal grant appropriations in Ordinance 19-13.

** Unlike other activities, for these line items the difference between the original appropriation and the adjusted appropriation is treated as the amount expended because it is the amount transferred to other line items for expenditure. The lapsed amount, then, is the adjusted appropriation. The percent lapsed is computed based on the budgeted appropriation, rather than on the adjusted appropriation.

**Table II-3
LEGISLATIVE BUDGET
Appropriations for FY 2020, All Funds**

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
City Council					
Administration	7,372,775	7,372,775	6,065,010	1,307,765	17.7%
Council Allotment	270,000	270,000	200,839	69,161	25.6%
Office of Council Services					
Administration	989,308	1,092,082	810,006	282,076	25.8%
Legal Assistance	827,162	643,304	501,417	141,887	22.1%
Organized Research and Analysis	707,408	788,492	744,655	43,837	5.6%
Revisor and References	201,066	201,066	168,707	32,359	16.1%
City Clerk					
Administration	410,192	410,192	375,744	34,448	8.4%
Support Services	335,787	335,787	275,669	60,118	17.9%
Council Assistance	1,010,152	1,010,152	796,072	214,080	21.2%
Elections	2,818,540	2,818,540	2,018,059	800,481	28.4%
Other Grants*	-	499,000	499,000	-	0.0%
City Auditor					
Administration	2,620,883	2,620,883	480,582	2,140,301	81.7%
Audit	757,979	760,162	685,615	74,546	9.8%
Financial Audit	430,000	430,000	430,000	-	0.0%
Miscellaneous					
Retirement System	2,044,272	2,044,272	2,044,272	-	0.0%
FICA	845,090	845,090	691,190	153,900	18.2%
EUTF	1,992,627	1,992,627	1,992,627	-	0.0%
Accumulated Vacation Leave	240,000	237,818	-	237,818	100.0%
Unemployment Compensation	45,000	45,000	-	45,000	100.0%
TOTAL LEGISLATIVE APPROPRIATIONS	23,918,241	24,417,241	18,779,464	5,637,777	23.1%
TOTAL CITY APPROPRIATIONS	2,858,784,906	3,288,375,976	2,968,848,765	319,527,211	9.7%

MAJOR APPROPRIATION LAPSES BY FUND

Table II-4 displays the amount appropriated, expended/encumbered, and lapsed by fund source. The major fund lapses for FY 2020 that were both at least \$5 million and at least ten percent of adjusted appropriations have been highlighted.³

Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
General Fund	1,669,069,342	1,669,069,342	1,482,542,423	186,526,919	11.2%
Highway Fund	148,967,965	148,967,965	135,493,431	13,474,534	9.0%
Sewer Fund	371,172,255	371,172,255	315,413,122	55,759,133	15.0%
Bus Transportation Fund	269,976,190	269,976,190	257,192,436	12,783,754	4.7%
Liquor Commission Fund	7,180,660	7,180,660	5,960,495	1,220,165	17.0%
Bikeway Fund	881,849	881,849	754,855	126,994	14.4%
Highway Beautification and Disposal	8,320,581	8,320,581	7,494,839	825,742	9.9%
Special Events Fund	12,991,324	12,991,324	10,703,230	2,288,094	17.6%
Honolulu Zoo Fund	11,338,991	11,338,991	10,510,238	828,753	7.3%
Golf Fund	15,641,233	15,641,233	14,486,222	1,155,011	7.4%
Solid Waste Special Fund	202,957,397	202,957,397	194,165,632	8,791,765	4.3%
Hanauma Bay Nature Preserve Fund	7,053,351	7,053,351	5,293,522	1,759,829	25.0%
Rental Assistance Fund	233,000	233,000	213,986	19,014	8.2%
Housing Development Special Fund	905,000	905,000	49,470	855,530	94.5%
Clean Water and Natural Lands Fund	295,528	295,528	25,431	270,097	91.4%
Affordable Housing Fund	280,199	280,199	114,121	166,078	59.3%
Patsy T. Mink CORP Fund	534,800	534,800	501,152	33,648	6.3%
Waipio Peninsula Soccer Park Fund	112,100	112,100	10,602	101,498	90.5%
Grants in Aid Fund	9,852,702	9,852,702	9,533,699	319,003	3.2%
Community Development Fund	1,762,050	1,762,050	1,662,096	99,954	5.7%
Housing and Community Development Rehabilitation Loan Fund	3,004,300	3,004,300	1,066,182	1,938,118	64.5%
Section 8 Contract Fund	59,345,426	63,745,402	62,471,132	1,274,270	2.0%
Federal Grants Fund	40,675,266	449,189,092	435,203,357	13,985,736	3.1%
Special Projects Fund	16,233,397	32,910,665	17,987,092	14,923,572	45.3%
TOTAL	2,858,784,906	3,288,375,976	2,968,848,765	319,527,211	9.7%

³ Grant and special projects funds are excluded from this analysis.

III. Capital Budget

This is a comparison of actual versus budgeted revenues and expenditures for the executive capital budget over the 24-month effective appropriation period for the FY 2019 capital budget that ran from July 1, 2018 through June 30, 2020, beyond which date appropriations that were not yet encumbered or expended lapsed pursuant to Charter.⁴ The review is based on information in the executive capital budget ordinance for the most recent appropriation period (Ordinance 18-24) and an accounting report from the Department of Budget and Fiscal Services for the period ending June 30, 2020.

Overview:

- In FY 2019, the largest appropriation lapse was from the Flood Control Improvements at Various Locations project where over \$50 million of the \$53.5 million appropriation lapsed.
- The budget function that experienced the highest rate of appropriation lapses, excluding federal funds, was Public Safety where 61.4 percent of City funds lapsed.
- Among significant fund sources, the General Improvement Bond Fund had the largest lapsing rate at 53.9 percent.

⁴ Federal grant funds are excluded from this review of lapses because federal appropriations do not lapse when city funds lapse.

A. MAJOR PROJECT LAPSES AND DETAILED RESULTS BY FUNCTION

Table III-1 displays all of the projects in the FY 2019 executive capital budget ordinance. Those projects with an asterisk are Council added projects in the FY 2019 executive capital budget ordinance. For each project, the following information is provided regarding its status at the end of the 24-month period:

"Appropriation" - Total appropriated amount as shown in the budget ordinance.

"Adjusted Appropriation" - The total appropriated amount as it may have been adjusted by any applicable grants, from either State or federal sources, or by transfers to or from other projects. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

"Expended/Encumbered" - The amount of the adjusted appropriation that was expended or encumbered during the 24-month period.

"Lapsed" - The amount that lapsed at the end of the 24-month period and its percentage of the adjusted appropriation.

- Projects having adjusted appropriations of \$1 million or more from non-State and non-federal funds; and
- Lapses of 60 percent or more of that adjusted appropriation incurred at the end of the 24-month period.

The major project lapses for FY 2019 have been highlighted based on the following:

**Table III-1
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020**

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
GENERAL GOVERNMENT							
STAFF AGENCIES							
1998602	Procurement of Major Equipment	GI	7,405,500	7,405,500	2,850,272	4,555,228	61.5%
1998602	Procurement of Major Equipment	HI	1,590,000	1,590,000	1,418,843	171,157	10.8%
1998602	Procurement of Major Equipment	CP	366,099	366,099	104,242	261,857	71.5%
1998602	Procurement of Major Equipment	CP	66,000	66,000	50,507	15,493	23.5%
1998602	Procurement of Major Equipment	WB	9,872,626	9,872,626	6,899,140	2,973,486	30.1%
1979110	Projects Adjustment Account	GI	1,000	1,000	-	1,000	100.0%
1979110	Projects Adjustment Account	HI	1,000	1,000	-	1,000	100.0%
PUBLIC FACILITIES--ADDITIONS AND IMPROVEMENTS							
2017073	Ala Moana Transit Plaza- Transit Oriented Development	GI	64,000,000	64,000,000	14,986,440	49,013,560	76.6%
1996611	Art In Public Facilities	CP	272,000	272,000	272,000	-	0.0%
1996007	Civic Center Improvements	GI	2,350,000	2,350,000	2,276,113	73,887	3.1%
	Electrification of Transportation Infrastructure	GI	3,000,000	3,000,000	2,999,721	279	0.0%
1998007	Energy Conservation Improvements	GI	900,000	900,000	899,994	6	0.0%
2019079	Halawa Corporation Yard BLDG "D" Improvements	GI	1,595,000	1,595,000	1,595,000	-	0.0%
1995006	Kapolei Consolidated Corporation Yard	GI	300,000	300,000	300,000	-	0.0%
2019080	Kapolei Hale Chiller Plant Replacement	GI	2,000,000	2,100,000	2,099,516	484	0.0%
2009025	Manana Corporation Yard Improvements	GI	26,205,000	25,723,000	25,034,920	688,080	2.7%
	Medical Examiner Building Improvements and Expansion	GI	5,000,000	5,000,000	4,840,000	160,000	3.2%
2004050	National Pollutant Discharge Elimination System (NPDES) Modification for Corp Yard	HI	550,000	550,000	550,000	-	0.0%
2015092	Pearlridge Bus Transfer Center and Plaza	GI	3,000,000	3,000,000	2,952,005	47,995	1.6%
1987042	Public Building Facilities Improvements	GI	2,000,000	2,000,000	1,889,683	110,317	5.5%
FACILITIES MAINTENANCE							
2019085	Community Development Block Grant Program Infrastructure Upgrade	GI	100,000	100,000	-	100,000	100.0%
2019085	Community Development Block Grant Program Infrastructure Upgrade	CD	971,738	971,738	660,000	311,738	32.1%
2019151	Municipal Facilities Improvements	GI	2,125,000	2,125,000	1,944,334	180,666	8.5%
PUBLIC FACILITIES-IMPROVEMENTS--LAND ACQUISITIONS							
	Haleiwa Land Acquisition	GI	3,000,000	3,000,000	-	3,000,000	100.0%
1971153	Land Expenses	CP	200,000	200,000	53,179	146,821	73.4%
	General Improvement Bond Fund	GI	122,981,500	122,599,500	64,667,998	57,931,502	47.3%
	Highway Improvement Bond Fund	HI	2,141,000	2,141,000	1,968,843	172,157	8.0%
	Solid Waste Improvement Bond Fund	WB	9,872,626	9,872,626	6,899,140	2,973,486	30.1%
	Capital Projects Funds	CP	904,099	904,099	479,928	424,171	46.9%
	Community Development Fund	CD	971,738	971,738	660,000	311,738	32.1%
TOTAL GENERAL GOVERNMENT			136,870,963	136,488,963	74,675,908	61,813,055	45.3%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>PUBLIC SAFETY</u>							
POLICE STATIONS AND BUILDINGS							
2019078	HPD Headquarters Elevator Replacement	GI	1,015,000	1,015,000	1,015,000	-	0.0%
2015082	HPD Headquarters Improvements	GI	1,745,000	1,845,000	1,844,686	314	0.0%
2009034	Microwave Radio Spur Equipment and Facilities Upgrade	GI	1,640,000	1,640,000	1,639,999	1	0.0%
2002025	Police Stations Buildings Improvements	GI	3,535,000	3,635,000	3,577,408	57,592	1.6%
2006034	Upgrade Security Camera Systems at Various Police Facilities	GI	450,000	450,000	331,622	118,378	26.3%
POLICE							
2005028	Honolulu Police Department Equipment Acquisition	GI	2,640,000	2,640,000	2,394,310	245,690	9.3%
FIRE STATIONS AND BUILDINGS							
1998021	Fire Station Buildings Improvements	GI	4,000,000	4,000,000	1,880,706	2,119,294	53.0%
2007012	Honolulu Fire Department NPDES Small MS4 Permit Program	GI	645,000	645,000	428,670	216,330	33.5%
2019077	Kalihi Kai Fire Station	GI	1,000,000	1,000,000	1,000,000	-	0.0%
2017076	Pearl City Fire Station Relocation	GI	500,000	500,000	500,000	-	0.0%
2002023	Waiialua Fire Station Relocation	GI	3,000,000	3,000,000	1,339,554	1,660,446	55.3%
FIRE							
	Community Development Block Grant - Honolulu Fire Department Equipment Acquisition	CD	660,820	2,305,500	2,305,500	-	0.0%
2005201	Honolulu Fire Department Equipment Acquisition	GI	2,805,000	2,805,000	2,804,528	472	0.0%
TRAFFIC IMPROVEMENTS							
1999311	Computerized Traffic Control System	HI	960,000	960,000	-	960,000	100.0%
1999311	Computerized Traffic Control System	FG	3,640,000	3,640,000	-	3,640,000	100.0%
2010030	Traffic Engineering Devices at Various Locations	HI	500,000	500,000	451,868	48,132	9.6%
1996306	Traffic Improvements at Various Locations	HI	860,000	860,000	859,996	4	0.0%
1996306	Traffic Improvements at Various Locations	FG	2,200,000	5,741,122	3,541,098	2,200,024	38.3%
1999312	Traffic Signals at Various Locations	HI	900,000	900,000	-	900,000	100.0%
1999312	Traffic Signals at Various Locations	FG	3,700,000	3,700,000	1,951,958	1,748,043	47.2%
FLOOD CONTROL							
2000101	Flood Control Improvements at Various Locations	GI	53,500,000	53,500,000	3,499,704	50,000,296	93.5%
2017087	Kahuku Regional Flood Study	GI	100,000	100,000	-	100,000	100.0%
OTHER PROTECTION							
2019082	Emergency Operations Center	GI	135,000	135,000	134,840	161	0.1%
2019082	Emergency Operations Center	FG	135,000	135,000	-	135,000	100.0%
	Joint Emergency Training Facility	GI	200,000	200,000	-	200,000	100.0%
2017075	Ocean Safety Lifeguard Towers Replacement and Facilities Improvements	GI	1,250,000	1,350,000	974,828	375,172	27.8%
OTHER PROTECTION - MISCELLANEOUS							
2005002	Drainage Outfall Improvements	GI	400,000	400,000	400,000	0	0.0%
2015102	Kapalama Canal Erosion Control, Stabilization, and Dredging - Transit Oriented Development	GI	100,000	100,000	100,000	-	0.0%
1997504	Kuahea Street Area Movement, Palolo Valley	GI	5,500,000	5,500,000	4,992,496	507,504	9.2%
	Manoa Flood/Rock Slide Mitigation and Improvements	GI	350,000	350,000	-	350,000	100.0%
	Punchbowl Drainage Improvements	GI	100,000	100,000	-	100,000	100.0%
2001154	Rock Slide Potential Inspections and Mitigative Improvements	HI	1,300,000	1,300,000	1,299,999	1	0.0%
2002080	Telecommunications Facilities Upgrade	GI	2,080,000	2,080,000	2,079,859	141	0.0%
	General Improvement Bond Fund	GI	86,690,000	86,990,000	30,938,209	56,051,791	64.4%
	Highway Improvement Bond Fund	HI	4,520,000	4,520,000	2,611,863	1,908,137	42.2%
	Federal Grants Fund	FG	9,675,000	13,216,122	5,493,056	7,723,067	58.4%
	Community Development Fund	CD	660,820	2,305,500	2,305,500	-	0.0%
TOTAL PUBLIC SAFETY			101,545,820	107,031,622	41,348,628	65,682,994	61.4%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>HIGHWAYS AND STREETS</u>							
BIKEWAYS AND BIKEPATHS							
1979063	Bikeway Improvements	FG	480,000	480,000	152,000	328,000	68.3%
1979063	Bikeway Improvements	CP	990,000	990,000	54,812	935,188	94.5%
HIGHWAYS, STREETS AND ROADWAYS							
2017084	Complete Streets	HI	1,150,000	1,150,000	-	1,150,000	100.0%
1988001	Curb Ramps at Various Locations, Oahu	HI	1,000,000	1,000,000	542,688	457,312	45.7%
2011089	Farrington Highway Improvements	HI	4,000,000	4,000,000	-	4,000,000	100.0%
1998515	Guardrail Improvements	HI	420,000	420,000	399,621	20,379	4.9%
2011092	Haleiwa Walkway Improvements	HI	200,000	200,000	-	200,000	100.0%
	Kealaolu Avenue Rehabilitation	HI	700,000	700,000	-	700,000	100.0%
1997502	Rehabilitation of Streets	HI	77,000,000	77,000,000	55,585,431	21,414,569	27.8%
1989123	Salt Lake Boulevard Widening	HI	110,000	110,000	110,000	-	0.0%
1991064	Utility Share Expenses	CP	100,000	100,000	-	100,000	100.0%
2017097	Waianae Coast Emergency Access Roads	HI	3,000,000	3,000,000	-	3,000,000	100.0%
FACILITIES MAINTENANCE							
	Mangrove Eradication Project	GI	800,000	800,000	-	800,000	100.0%
2018087	Reconstruction of Sidewalks	GI	3,000,000	3,000,000	2,876,425	123,575	4.1%
TRANSPORTATION SERVICES							
	Intermodal Connectivity Improvements	GI	4,000,000	4,000,000	3,839,063	160,937	4.0%
BRIDGES, VIADUCTS, AND GRADE SEPARATION							
1998520	Bridge Rehabilitation at Various Locations	HI	7,300,000	7,300,000	3,680,423	3,619,577	49.6%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

STORM DRAINAGE							
2000052	Drainage Improvements at Various Locations	HI	2,190,000	2,190,000	2,097,587	92,413	4.2%
2017074	Erosion Control Improvements	HI	2,800,000	2,800,000	2,718,600	81,400	2.9%
	Kaimuki Storm Drainage Improvements	HI	1,650,000	1,650,000	-	1,650,000	100.0%
	Moilili Storm Drainage Improvements	HI	500,000	500,000	-	500,000	100.0%
2019081	Reconstruction of Storm Drain Structures, Kakaako	HI	400,000	400,000	300,000	100,000	25.0%
FACILITIES MAINTENANCE							
2019152	NPDES Improvements Along Kuhio Beach	HI	550,000	600,000	600,000	-	0.0%
2018071	NPDES MS4 Erosion Prone Area Improvements	HI	1,300,000	1,300,000	1,276,976	23,024	1.8%
2018072	NPDES MS4 Retrofit Structural BMP Improvements Program	HI	500,000	500,000	500,000	-	0.0%
2018073	NPDES MS4 Structural BMPs for City Industrial Facilities	HI	420,000	420,000	420,000	-	0.0%
2018074	NPDES MS4 Structural BMPs for Trash Reduction	HI	1,050,000	1,050,000	877,836	172,164	16.4%
2018075	NPDES MS4 TMDL Structural BMP Improvements Program	HI	950,000	900,000	450,000	450,000	50.0%
2019074	Rehabilitation/Reconstruction of Storm Drains and Catch Basins	HI	2,000,000	2,000,000	1,908,435	91,565	4.6%
2018077	Storm Drainage Best Management Practices in the Salt Lake Drainage System	HI	1,870,000	1,870,000	1,788,914	81,086	4.3%
2018078	Storm Drainage Best Management Practices in the Vicinity of Kaelepulu Pond	HI	750,000	750,000	350,000	400,000	53.3%
2018082	Storm Drain Outlets Near Ala Wai Canal	HI	1,170,000	1,170,000	-	1,170,000	100.0%
2018083	Waikiki Drain Outfall Improvements	HI	200,000	200,000	-	200,000	100.0%
STREET LIGHTING							
2015089	Kapiolani Boulevard Street Light Improvements	HI	2,320,000	2,320,000	2,320,000	-	0.0%
2007043	Street Light Meter Cabinets, Transformers and Street Lighting Improvements	HI	500,000	500,000	499,453	547	0.1%
	General Improvement Bond Fund	GI	7,800,000	7,800,000	6,715,488	1,084,512	13.9%
	Highway Improvement Bond Fund	HI	116,000,000	116,000,000	76,425,964	39,574,036	34.1%
	Capital Projects Funds	CP	1,090,000	1,090,000	54,812	1,035,188	95.0%
	Federal Grants Fund	FG	480,000	480,000	152,000	328,000	68.3%
TOTAL HIGHWAYS AND STREETS			125,370,000	125,370,000	83,348,264	42,021,736	33.5%

Table III-1 (continued)
 EXECUTIVE CAPITAL BUDGET FOR FY 2019
 24 Month Period Ending June 30, 2020

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
SANITATION							
WASTE COLLECTION AND DISPOSAL							
2018046	Enhanced Materials Recovery Facility	WB	3,004,000	3,004,000	2,700,486	303,514	10.1%
	Food Waste Facility	WB	4,000,000	4,000,000	-	4,000,000	100.0%
2017050	Kapaa Transfer Station Renovation	WB	5,301,000	5,301,000	4,915,786	385,214	7.3%
2014052	Leeward Refuse Collection Baseyard and Convenience Center	WB	3,802,000	3,802,000	2,136,710	1,665,290	43.8%
2014055	Refuse Facilities Energy Savings Project	WB	1,301,000	1,301,000	1,301,000	-	0.0%
2010054	Refuse Facilities Improvements at Various Locations	WB	1,350,000	1,350,000	667,985	682,015	50.5%
2014063	Solid Waste to Energy Facility (H-Power) - Access Improvements	WB	110,000	110,000	110,000	-	0.0%
2018050	Solid Waste to Energy Facility (H-Power) Conversion Technologies	WB	13,320,000	13,320,000	7,337,657	5,982,344	44.9%
2014065	Solid Waste to Energy Facility (H-Power) Improvements	WB	6,221,000	6,221,000	6,221,000	-	0.0%
2018049	Solid Waste to Energy Facility (H-Power) Reconstruction of Ash Building	WB	7,200,000	7,200,000	7,200,000	-	0.0%
2017046	Waimanalo Gulch Landfill-Leachate Disposal Project	WB	3,702,000	3,702,000	-	3,702,000	0.0%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
SANITATION							
SEWAGE COLLECTION AND DISPOSAL							
2015049	Ala Moana-Kakaako Trunk Sewer	CP	1,002,000	1,002,000	-	1,002,000	100.0%
2017060	Ala Moana Wastewater Force Main No.2 System	CP	1,001,000	1,001,000	1,001,000	-	0.0%
2015050	Ala Moana Wastewater Pump Station No. 3	SR	1,002,000	1,002,000	-	1,002,000	100.0%
2016046	Beachwalk Wastewater Pump Station Force Main System	CP	2,503,000	2,503,000	-	2,503,000	100.0%
2017059	Beretania Street Sewer Improvements	CP	151,000	151,000	151,000	-	0.0%
2009099	Dowsett Highlands Relief Sewer	SR	1,002,000	1,002,000	1,000,000	2,000	0.2%
2019065	Hart Street/Waikamilo Road Replacement Sewer	CP	9,902,000	9,902,000	1,300,000	8,602,000	86.9%
2010062	Hele Street Sewer Relief/Rehabilitation, Kailua	CP	10,601,000	10,601,000	10,599,615	1,385	0.0%
2013051	Honolulu Area Wastewater Pump Station Facilities Improvements	CP	9,601,000	9,601,000	9,201,000	400,000	4.2%
2012058	Honouliuli Wastewater Treatment Plant Secondary Treatment	SR	100,501,000	100,501,000	78,473,628	22,027,372	21.9%
2019067	Iwilei, King Street, Kokea Street Area Sewer Improvements	CP	801,000	801,000	801,000	-	0.0%
2019069	Kahuku WWTP-Seepage Receiving, SBRA and Sludge Thickening Improvements	CP	7,802,000	7,802,000	800,000	7,002,000	89.7%
2009109	Kailua Wastewater Treatment Plant and Sewer Basin Facilities	CP	3,000,000	3,000,000	3,000,000	-	0.0%
2013056	Kailua Wastewater Treatment Plant - Tunnel Influent Pump Station and Headworks Facility	SR	102,000	102,000	-	102,000	100.0%
2016050	Kailua Wastewater Treatment Plant Upgrade - Phase 2	SR	28,731,000	28,731,000	28,730,000	1,000	0.0%
2019071	Kailua WWTP-Primary and Secondary Tank Rehabilitation Main System Improvements	CP	8,802,000	8,802,000	-	8,802,000	100.0%
2019064	Kailua WWTP-TFSC & Biotower System Improvements	CP	6,602,000	6,602,000	-	6,602,000	100.0%
2012049	Kamehameha Highway Wastewater Pump Station Force Main System Improvements	SR	702,000	702,000	702,000	-	0.0%
2019073	Kamehameha Hwy/Whitmore Avenue Sewer Improvements	CP	251,000	251,000	251,000	-	0.0%
2019072	Moanalua Stream Sewer Replacement/Reconstruction	CP	501,000	501,000	501,000	-	0.0%
2013060	Pearl City Wastewater Pump Station, Force Main, and Sewer System Alternatives	SR	803,000	803,000	800,000	3,000	0.4%
2001124	Project Management for Wastewater Projects	CP	8,120,000	8,120,000	6,410,228	1,709,772	21.1%
2009112	Sand Island Wastewater Treatment Plant and Sewer Basin Facilities	CP	5,000,000	5,000,000	5,000,000	-	0.0%
2015054	Sand Island Wastewater Treatment Plant Return Energy Improvements	SR	24,003,000	24,003,000	24,000,000	3,000	0.0%
1994511	Sand Island Wastewater Treatment Plant Expansion, Primary Treatment	CP	1,002,000	1,002,000	1,000,000	2,000	0.2%
2013062	Sand Island Wastewater Treatment Plant Improvements/Upgrade	CP	6,602,000	6,602,000	3,060,483	3,541,517	53.6%
2009102	Sand Island Wastewater Treatment Plant Outfall Improvements/ Rehabilitation	SR	14,502,000	14,502,000	9,238,999	5,263,001	36.3%
2012059	Sand Island Wastewater Treatment Plant Secondary Treatment	CP	30,500,000	30,500,000	30,500,000	-	0.0%
2019068	Sand Island WWTP Air Conditioning Refurbishment	CP	6,002,000	6,002,000	-	6,002,000	100.0%
2007068	Sewer Condition Assessment Program	CP	2,500,000	2,500,000	2,500,000	-	0.0%
2019070	Sewer Easement Access Improvements-Mililani Effluent Sewer	CP	401,000	401,000	401,000	-	0.0%
2013063	Sewer I/I Relief and Rehabilitation Projects-Ala Moana Tributary Basin	SR	16,501,000	16,501,000	-	4,971,513	30.1%
2013065	Sewer I/I Relief and Rehabilitation Projects-Leeward Area	CP	301,000	301,000	301,000	-	0.0%
2013067	Sewer I/I Relief and Rehabilitation Projects-Windward Area	CP	6,303,000	6,303,000	5,812,161	490,839	7.8%
2017061	Sewer I/I Relief and Rehabilitation Projects-Windward Increment 2	CP	501,000	501,000	501,000	-	0.0%
2000071	Sewer Mainline and Lateral Projects	CP	15,300,000	15,300,000	13,798,600	1,501,400	9.8%
2013067	Waianae Wastewater Treatment Plant Improvements/Upgrade	CP	11,700,000	11,700,000	10,788,100	911,900	7.8%
2013100	Waianae Wastewater Treatment Plant Outfall Improvements/ Rehabilitation	CP	1,000,000	1,000,000	1,000,000	-	0.0%
2013068	Waimalu Wastewater Pump Station Modification/Upgrade	SR	16,401,000	16,401,000	15,040,376	1,360,625	8.3%
2019066	Waimanalo Wastewater Treatment Plant-Solids System Improvements	CP	501,000	501,000	501,000	-	0.0%
2018053	Waipahu WWPS Force Mains Rehabilitation	CP	802,000	802,000	801,000	1,000	0.1%
2007071	Waipio Wastewater Pump Station Upgrade	CP	1,102,000	1,102,000	543,808	558,192	50.7%
2000038	Wastewater Equipment	CP	12,677,690	12,677,690	4,289,036	8,388,654	66.2%
1998806	Wastewater Facilities Replacement Reserve	CP	5,000,000	5,000,000	-	5,000,000	100.0%
2003151	Wastewater Planning and Programming	CP	325,000	325,000	230,921	94,079	28.9%
2007073	Wastewater Program Management	CP	2,502,000	2,502,000	2,500,000	2,000	0.1%
2001062	Wastewater Treatment Plant, Pump Station, and Force Main Projects	CP	16,600,000	16,600,000	8,592,603	8,007,397	48.2%
	Sewer Revenue Bond Improvement Fund	SR	204,250,000	204,250,000	157,985,003	34,735,511	17.0%
	Solid Waste Improvement Bond Fund	WB	49,311,000	49,311,000	32,590,623	16,720,377	33.9%
	Capital Projects Funds	CP	197,261,690	197,261,690	126,136,556	71,125,134	36.1%
	TOTAL SANITATION		450,822,690	450,822,690	316,712,181	122,581,022	27.2%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>HUMAN SERVICES</u>							
HUMAN SERVICES							
	Acquisition of Hilo Hattie Building, Iwilei	GI	10,000,000	10,000,000	-	10,000,000	100.0%
2016001	Affordable Housing Strategic Development Program	CP	40,843,469	40,843,469	18,911,406	21,932,063	53.7%
2007076	Community Development Block Grant (CDBG) Program	CD	3,125,640	3,325,388	2,684,467	640,921	19.3%
2017002	Community Revitalization Initiative	GI	18,000,000	18,000,000	2,000,000	16,000,000	88.9%
1995207	Emergency Solutions Grants (ESG) Program	FG	622,785	710,450	710,440	10	0.0%
2007077	Home Investment Partnerships (HOME) Program	FG	1,220,589	5,732,228	2,162,153	3,570,075	62.3%
	Homeless Prevention Initiative	GI	4,000,000	4,000,000		4,512	0.1%
	Housing and Hygiene Center	GI	2,000,000	2,000,000	-	2,000,000	100.0%
2000119	Housing Opportunities for Persons with AIDs (HOPWA) Program	FG	550,601	550,601	550,601	-	0.0%
	Urban Honolulu Hygiene and Medical Triage Centers	GI	5,000,000	5,000,000	5,000,000	-	0.0%
	General Improvement Bond Fund	GI	39,000,000	39,000,000	2,000,000	28,004,512	71.8%
	Capital Projects Funds	CP	40,843,469	40,843,469	18,911,406	21,932,063	53.7%
	Community Development Fund	CD	3,125,640	3,325,388	2,684,467	640,921	19.3%
	Federal Grants Fund	FG	2,393,975	6,993,279	3,423,194	3,570,085	51.1%
	TOTAL HUMAN SERVICES		85,363,084	90,162,136	27,019,067	54,147,581	60.1%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
CULTURE - RECREATION							
PARTICIPANT, SPECTATOR AND OTHER RECREATION							
	Aikahi Community Park Safety Fence Improvements	GI	100,000	100,000	-	100,000	100.0%
2017098	Aina Koa Neighborhood Park	GI	250,000	250,000	-	250,000	100.0%
1998107	Ala Moana Regional Park	GI	7,561,000	7,561,000	7,537,931	23,069	0.3%
1998107	Ala Moana Regional Park	CP	439,305	439,305	439,305	-	0.0%
	Ala Wai Promenade Improvements	GI	100,000	100,000	-	100,000	100.0%
	Auwaiolimu Neighborhood Park	GI	100,000	100,000	-	100,000	100.0%
2010072	Banzai Rock Skate Park	GI	100,000	100,000	-	100,000	100.0%
2019084	Community Development Block Grant Program Park Improvements	GI	100,000	100,000	25,000	75,000	75.0%
2019084	Community Development Block Grant Program Park Improvements	CD	2,090,000	2,090,000	2,090,000	-	0.0%
2007405	Department of Parks and Recreation NPDES Small MS4 Permit Program	GI	330,000	330,000	330,000	-	0.0%
2014105	Division of Urban Forestry Tree Farm- Patsy T. Mink Central Oahu Regional Park	GI	200,000	200,000	125,000	75,000	37.5%
2016074	Dr. Sun Yat-Sen Memorial Park Improvements	GI	450,000	450,000	450,000	-	0.0%
1977069	Haleiwa Beach Park	GI	1,000,000	1,000,000	999,066	935	0.1%
2002053	Hans L'Orange Neighborhood Park Improvements, Waipahu	GI	200,000	200,000	193,089	6,911	3.5%
	Kahala Community Park	GI	960,000	960,000	-	960,000	100.0%
2014090	Kahuku District Park Improvements	GI	500,000	500,000	-	500,000	100.0%
	Kailua District Park-Skate Park Improvements	GI	150,000	150,000	-	150,000	100.0%
	Kaimana Beach Improvements	GI	1,000,000	1,000,000	-	1,000,000	100.0%
2018094	Kaimuki Community Park	GI	450,000	450,000	138,443	311,557	69.2%
1996106	Kamamalu Neighborhood Park	GI	850,000	850,000	-	850,000	100.0%
	Kamanele Square Improvements	GI	216,000	216,000	-	216,000	100.0%
1998100	Kapiolani Regional Park, Waikiki	GI	58,000	58,000	58,000	-	0.0%
1998100	Kapiolani Regional Park, Waikiki	CP	442,584	442,584	442,584	-	0.0%
2015107	Kilauea District Park	GI	1,680,000	1,680,000	-	1,680,000	100.0%
	Koko Head District Park	GI	3,000,000	3,000,000	-	3,000,000	100.0%
	Koko Head District Park Comfort Station Improvements	GI	550,000	550,000	-	550,000	100.0%
2014093	Kualoa Regional Park	GI	2,466,000	2,466,000	2,466,000	-	0.0%
2014093	Kualoa Regional Park	CP	534,750	534,750	534,750	-	0.0%
2017108	Kuhio Beach Comfort Station Improvements	GI	900,000	900,000	-	900,000	100.0%
	Kuhio Beach Promenade Improvements	GI	2,000,000	2,000,000	-	2,000,000	100.0%
2019075	Makiki District Park Parking Lot Improvements	GI	500,000	500,000	-	500,000	100.0%
2019075	Makiki District Park Parking Lot Improvements	CP	90,440	90,440	-	90,440	100.0%
2000037	Mauna Lahilahi Beach Park Protective Breakwater	GI	500,000	500,000	500,000	-	0.0%
	Melemanu Neighborhood Park Playcourt Replacement	GI	300,000	300,000	-	300,000	100.0%
	Mililani Parks Improvements	GI	2,000,000	2,000,000	-	2,000,000	100.0%
1995127	Oneula Beach Park, Ewa Beach	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	Pauahi Recreation Center	GI	500,000	500,000	-	500,000	100.0%
	Petrie Community Park	GI	250,000	250,000	-	250,000	100.0%
2009041	Preservation and Conservation Lands	CP	10,503,327	10,503,327	-	10,503,327	100.0%
1998105	Reconstruct Wastewater Systems for Parks	GI	1,500,000	1,500,000	1,187,914	312,086	20.8%
2002072	Renovate Recreational Facilities	GI	9,049,000	9,049,000	8,268,915	780,085	8.6%
2002072	Renovate Recreational Facilities	CP	522,350	522,350	132,857	389,493	74.6%
	Sandy Beach Park Comfort Station Improvements	GI	350,000	350,000	-	350,000	100.0%
2014096	Thomas Square	GI	800,000	800,000	351,910	448,090	56.0%
	Waialae Iki Neighborhood Park	GI	500,000	500,000	-	500,000	100.0%
1998117	Waikiki War Memorial Complex/Waikiki Beach	GI	260,000	260,000	260,000	-	0.0%
2009044	Waimanalo Bay Beach Park	GI	150,000	150,000	-	150,000	100.0%
	Waimanalo District Park	GI	10,000	10,000	-	10,000	100.0%
2018085	Waipahu District Park	GI	200,000	200,000	-	200,000	100.0%
1998031	Waipio Peninsula Recreation Complex, Waipio Peninsula	GI	400,000	400,000	400,000	-	0.0%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

SPECIAL RECREATION FACILITIES

2014076	Ala Wai Golf Course Clubhouse Roof Reconstruction	GI	150,000	150,000	84,826	65,174	43.4%
	Ala Wai Golf Course Entrance Improvements	GI	200,000	200,000	-	200,000	100.0%
2007019	Department of Enterprise Services Golf Course NPDES Small MS4 Permit Program	GI	685,000	685,000	385,000	300,000	43.8%
1999012	Enterprise Facilities Improvements	GI	1,500,000	1,500,000	115,144	1,384,856	92.3%
2001053	Golf Course Improvements	GI	900,000	900,000	687,371	212,629	23.6%
2001097	Honolulu Zoo Improvements	GI	1,620,000	1,620,000	1,618,679	1,321	0.1%
	Honolulu Pries Building Renovation	GI	820,000	902,000	872,004	29,996	3.3%
2017077	Honolulu Zoo Water System Upgrade	GI	200,000	200,000	200,000	-	0.0%
2007025	Ted Makalena Golf Course - New Cart Paths	GI	600,000	600,000	-	600,000	100.0%
2013081	Waikiki Shell	GI	1,380,000	1,380,000	1,379,921	79	0.0%
	General Improvement Bond Fund	GI	51,595,000	51,677,000	28,634,213	23,042,787	44.6%
	Capital Projects Funds	CP	12,532,756	12,532,756	1,549,496	10,983,260	87.6%
	Community Development Fund	CD	2,090,000	2,090,000	2,090,000	-	0.0%
	TOTAL CULTURE - RECREATION		66,217,756	66,299,756	32,273,709	34,026,047	51.3%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2019
24 Month Period Ending June 30, 2020

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>UTILITIES OR OTHER ENTERPRISES</u>							
MASS TRANSIT							
2006018	Alapai Transportation Management Center	HI	2,000,000	2,000,000	1,718,694	281,306	14.1%
1978005	Bus and Handi-Van Acquisition Program	HI	23,281,000	23,281,000	16,070,606	7,210,394	31.0%
1978005	Bus and Handi-Van Acquisition Program	FG	16,752,000	16,752,000	10,745,736	6,006,264	35.9%
2001116	Bus Stop ADA Access and Site Improvements	HI	1,035,000	1,035,000	606,408	428,592	41.4%
2001116	Bus Stop ADA Access and Site Improvements	FG	145,000	145,000	57,500	87,500	60.3%
2008036	Transit Safety and Security Projects	HI	74,000	74,000	24,480	49,520	66.9%
2008037	Transit Safety and Security Projects	FG	297,000	297,000	95,331	201,669	67.9%
	Highway Improvement Bond Fund	HI	26,390,000	26,390,000	18,420,189	7,969,811	30.2%
	Federal Grants Fund	FG	17,194,000	17,194,000	10,898,567	6,295,433	36.6%
TOTAL UTILITIES OR OTHER ENTERPRISES			43,584,000	43,584,000	29,318,756	14,265,244	32.7%

B. MAJOR PROJECT ADJUSTMENTS

In Table III-2 below, major project adjustments for FY 2019 have been highlighted, based on the detailed information in Table III-1. Adjustments either increase or decrease the authorized appropriation for a project. Authority in the budget ordinance for such adjustments include the Project Adjustments Account (a project contained in the General Government function, Staff Agencies program) and a related proviso which allows the unused portion of a project appropriation to be transferred to another project for which appropriations are less than needed, a proviso relating to limited purpose monies, and a proviso allowing sewer project transfers to protect public health and safety or to meet federal or State requirements.

Projects having an adjustment of \$1,000,000 or more, up or down, to the initial appropriation established by ordinance are included in the following table, comparing the original appropriation to the amount of the adjustment.

Table III-2. Major Project Adjustments

Function	Project	Ord 18-24 Appropriation	Adjusted Appropriation
Human Services	Home Investment Partnership (HOME) Program	\$1,220,589	\$5,732,228
Public Safety	Traffic Improvements at Various Locations	\$3,060,000	\$6,601,122

C. SUMMARY OF RESULTS

In Table III-3 below, the results discussed in the preceding chapters are summarized by budget function (e.g. General Government, Public Safety, etc.) and by fund source. Among other things, this summary reveals which budget functions and fund sources have been subject to large lapses in appropriations.

As shown in the table, among budget function areas, the Public Safety function had the largest relative lapses at 61.4 percent of adjusted appropriations. That distinction holds (61.8 percent) with Federal Grants being excluded in the calculation. This is primarily due to the amount of funding that lapsed from the Flood Control Improvements at Various Locations project funded from the general improvement bond fund, which lapsed funding totaled over \$50 million in appropriations. Among fund sources, the general improvement bond fund had the largest total lapse of all the fund sources at \$166.1 million.

Table III-3
Executive Capital Budget FY 2019
Summary of Results by Function and Fund

		Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
General Government						
General Improvement Bond Fund	GI	122,981,500	122,599,500	64,667,998	57,931,502	47.3%
Highway Improvement Bond Fund	HI	2,141,000	2,141,000	1,968,843	172,157	8.0%
Solid Waste Improvement Bond Fund	WB	9,872,626	9,872,626	6,899,140	2,973,486	30.1%
Capital Projects Fund	CP	904,099	904,099	479,928	424,171	46.9%
Community Development Fund	CD	971,738	971,738	660,000	311,738	32.1%
Total		136,870,963	136,488,963	74,675,908	61,813,055	45.3%
Public Safety						
General Improvement Bond Fund	GI	86,690,000	86,990,000	30,938,209	56,051,791	64.4%
Highway Improvement Bond Fund	HI	4,520,000	4,520,000	2,611,863	1,908,137	42.2%
Federal Grants Fund	FG	9,675,000	13,216,122	5,493,056	7,723,067	58.4%
Community Development Fund	CD	660,820	2,305,500	2,305,500	-	0.0%
Total		101,545,820	107,031,622	41,348,628	65,682,994	61.4%
Highways and Streets						
General Improvement Bond Fund	GI	7,800,000	7,800,000	6,715,488	1,084,512	13.9%
Highway Improvement Bond Fund	HI	116,000,000	116,000,000	76,425,964	39,574,036	34.1%
Capital Projects Funds	CP	1,090,000	1,090,000	54,812	1,035,188	95.0%
Federal Grant	FG	480,000	480,000	152,000	328,000	68.3%
Total		125,370,000	125,370,000	83,348,264	42,021,736	33.5%
Sanitation						
Sewer Revenue Bond Improvement Fund	SR	204,250,000	204,250,000	169,514,490	34,735,511	17.0%
Solid Waste Improvement Bond Fund	WB	49,311,000	49,311,000	32,590,623	16,720,377	33.9%
Capital Projects Funds	CP	197,261,690	197,261,690	126,136,556	71,125,134	36.1%
Total		450,822,690	450,822,690	328,241,668	122,581,022	27.2%

Table III-3 (continued)
Executive Capital Budget FY 2019
Summary of Results by Function and Fund

		Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Human Services						
General Improvement Bond Fund	GI	39,000,000	39,000,000	10,995,488	28,004,512	71.8%
Capital Projects Funds	CP	40,843,469	40,843,469	18,911,406	21,932,063	53.7%
Community Development Fund	CD	3,125,640	3,325,388	2,684,467	640,921	19.3%
Federal Grants Fund	FG	2,393,975	6,993,279	3,423,194	3,570,085	51.1%
Total		85,363,084	90,162,136	36,014,555	54,147,581	60.1%
Culture-Recreation						
General Improvement Bond Fund	GI	51,595,000	51,677,000	28,634,213	23,042,787	44.6%
Capital Projects Funds	CP	12,532,756	12,532,756	1,549,496	10,983,260	87.6%
Community Development Fund	CD	2,090,000	2,090,000	2,090,000	-	0.0%
Total		66,217,756	66,299,756	32,273,709	34,026,047	51.3%
Utilities or Other Enterprises						
Highway Improvement Bond Fund	HI	26,390,000	26,390,000	18,420,189	7,969,811	30.2%
Federal Grants Fund	FG	17,194,000	17,194,000	10,898,567	6,295,433	36.6%
Total		43,584,000	43,584,000	29,318,756	14,265,244	32.7%
All Functions						
Capital Projects Funds	CP	252,632,014	252,632,014	147,132,197	105,499,817	41.8%
Community Development Fund	CD	6,848,198	8,692,626	7,739,967	952,659	11.0%
Federal Grants Fund	FG	29,742,975	37,883,401	19,966,816	17,916,585	47.3%
General Improvement Bond Fund	GI	308,066,500	308,066,500	141,951,396	166,115,104	53.9%
Highway Improvement Bond Fund	HI	149,051,000	149,051,000	99,426,859	49,624,141	33.3%
Sewer Revenue Bond Improvement Fund	SR	204,250,000	204,250,000	169,514,490	34,735,511	17.0%
Solid Waste Improvement Bond Fund	WB	59,183,626	59,183,626	39,489,763	19,693,863	33.3%
Grand Total		1,009,774,313	1,019,759,167	625,221,488	394,537,679	38.7%

IV. APPENDIX: DATA SOURCES

Chapter II

General Fund Overview.	General Fund, Schedule of Revenues, Expenditures, and Changes in Fund Balance; General Fund, Schedule of Revenues, Budget and Actual; and General Fund, Budgetary Comparison Schedule; both from Comprehensive Annual Financial Report, City and County of Honolulu. Detailed Statement of Revenues, Executive Program and Budget. Amendment to General Fund Detailed Statement of Revenues, executive operating budget ordinance. GL5, City and County of Honolulu Enterprise Resource Planning System.
Table II-1.	Major General Fund Revenue Variances. GL5, City and County of Honolulu Enterprise Resource Planning System; CAFR General Fund, Schedule of Revenues, Budget and Actual.
Table II-2.	Major Appropriation Lapses by Activity. Executive operating budget ordinance, 99-PA, City and County of Honolulu Enterprise Resource Planning System.
Table II-3.	Legislative Budget. Legislative budget ordinance, 99-PA, 99-PQ, City and County of Honolulu Enterprise Resource Planning System.
Table II-4.	Executive and Legislative Budget Summary of Results by Fund. Executive operating and legislative budget ordinances, 99-PA, City and County of Honolulu Enterprise Resource Planning System.
Table II-5.	Major General Fund Revenue Assumptions. GL5, City and County of Honolulu Enterprise Resource Planning System.

Chapter III

Table III-1.	Executive Capital Budget. 99-PA, CIP Prior, City and County of Honolulu Enterprise Resource Planning System; Executive capital budget ordinance.
Table III-2.	Major Project Adjustments. Excerpts from Table IV-3; Executive capital budget ordinance.
Table III-3.	Executive Capital Budget. Summary of Results by Function and Fund. 99-PA, 99-PQ, CIP Prior, City and County of Honolulu Enterprise Resource Planning System.