



Status of the City's Finances

2023



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I. Introduction

We are pleased to transmit to the Honolulu City Council the *Status of the City's Finances* for 2023. Each year, the Office of Council Services (OCS) prepares this brief to aid Councilmembers during the City's annual budget cycle. The 2023 report presents the following: (1) details about the status of the operating budget for the prior fiscal year; and (2) the status of capital projects for the most recently ended appropriation period.

We hope that you find the information provided useful during the FY 2024 budget cycle.

II. Operating Budgets

This is a comparison of actual versus budgeted revenues and expenditures for the executive operating budget. This review covers all of fiscal year ("FY") 2022 (ending on June 30, 2022) and is based on the information in the FY 2023 Executive Program and Budget documents, the legislative and executive operating budget ordinances for FY 2022 (Ordinances 21-19 and 21-20, respectively), unaudited financial statements for FY 2022, and the December 2022 accounting reports for FY 2022 from the Department of Budget and Fiscal Services. The review of expenditures includes those from all fund sources, while the review of revenues only covers general fund revenues.

Overview:

- In FY 2022, the largest appropriation lapse in a departmental activity was \$30,176,335 in the Department of Transportation Services, Transportation Mobility.

A. GENERAL FUND OVERVIEW

Overall, for FY 2022, actual general fund revenues were \$101 million more than the \$1.9 billion estimated in the budget (these figures include revenues, other financing sources (e.g. sale of assets), transfers in, and unreserved fund balance from prior year). Actual general fund expenditures for executive and legislative departments, miscellaneous expenses and debt service totaled \$83 million lower than the \$1.9 billion budgeted (these figures include expenditures from departments, miscellaneous expenditures, and debt service; other financing uses; and transfers out. The actual unreserved and undesignated fund balance at the end of FY 2022 totaled \$185 million more than the budgeted fund balance of \$250 million. The \$185 million ending fund balance computes to a favorable ratio of 10.2 percent compared to FY 2022 expenditures.¹

SIGNIFICANT REVENUE VARIANCES FOR GENERAL FUND

The following table shows general fund revenue sources with a variance of both \$1 million and five percent or more between actual and budgeted revenue amounts for FY 2022. Negative amounts mean actual revenues were below the amounts budgeted.

**Table II-1. FY 2022 Major
General Fund Revenue Variances**

General Fund Revenue Source	Variance From Budget	Percent of Budgeted Amount
Building Permits	(\$5,064,000)	(23.0%)
Emergency Ambulance Services	(\$16,179,000)	(34.5%)
HART	\$1,909,000	173.4%
Investments	(\$21,305,000)	(1,898.8%)
Public Service Company Tax	(\$7,084,000)	(16.0%)
Sundry Refunds	\$4,340,000	264.8%

¹ The Government Finance Officers Association, a standard-setting professional association, recommends an unreserved fund balance in the general fund of no less than five to 15 percent of operating revenues.

B. EXPENDITURE RESULTS BY ACTIVITY

Table II-2 displays expenditure results of activities in the FY 2022 executive operating budget ordinance, listed by agency. Table II-3 displays expenditure results of activities in the FY 2022 legislative budget ordinance, listed by agency. In addition, if accounting reports show that an activity received state or federal funds that were not appropriated, that funding is shown in the "Other Grants" line-item for the appropriate agency. For each activity, the amounts appropriated, expended/encumbered, and lapsed in the fiscal year are shown. Included is the percentage of the activity's appropriation that the lapsed amount represents. Activities where the lapsed amount equaled or exceeded both \$1 million and five percent of the adjusted appropriation are highlighted, but only if the lapsed amount and percentage continue to meet the criteria after excluding grant funds from any source.² For each activity, the following information is provided regarding its status at the end of the fiscal year:

"Appropriation" - Total appropriated amount as shown in the budget ordinance.

"Adjusted Appropriation" - The total appropriated amount as it may have been adjusted by any transfers and grants, from either State or federal sources. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

"Expended/Encumbered" - The amount of the adjusted appropriation that was expended or encumbered during the fiscal year.

"Lapsed" - The amount that lapsed at the end of the fiscal year and its percentage of the adjusted appropriation.

² Unbudgeted grant and special projects funds are excluded.

Table II-2
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2022, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Mayor					
Administration	740,458	786,420	758,125	28,295	3.6%
Contingency	29,405	29,405	18,963	10,442	35.5%
Other Grants*	-	10,000	-	10,000	100.0%
Managing Director					
City Management	8,197,370	105,151,880	98,944,829	6,207,051	5.9%
Culture and the Arts	564,374	564,374	519,907	44,467	7.9%
Neighborhood Commission	814,088	814,088	668,114	145,974	17.9%
Office of Housing	515,288	515,288	161,296	353,992	68.7%
Office of Climate Change, Sustainability and Resiliency	1,248,062	1,539,336	1,112,654	426,682	27.7%
Royal Hawaiian Band	2,369,606	2,445,840	2,273,348	172,492	7.1%
Other Grants*	-	1,031,196	521,609	509,588	49.4%
Department of Customer Services					
Administration	598,711	598,711	553,221	45,490	7.6%
Public Communication	1,457,309	1,478,009	1,405,826	72,183	4.9%
Satellite City Hall	4,681,917	4,766,861	4,616,049	150,812	3.2%
Motor Vehicle, Licensing and Permits	18,376,429	18,376,429	17,325,470	1,050,959	5.7%
Other Grants*	-	306,672	306,672	-	0.0%
Department of Budget and Fiscal Services					
Administration	1,531,051	1,531,051	1,313,543	217,508	14.2%
Internal Control	336,337	347,337	244,399	102,938	29.6%
Fiscal/CIP Administration	1,829,966	2,066,451	1,452,859	613,592	29.7%
Budgetary Administration	884,300	884,300	755,879	128,421	14.5%
Accounting and Fiscal Services	5,929,622	6,621,796	4,760,219	1,861,577	28.1%
Purchasing and General Services	1,781,385	1,836,585	1,743,882	92,703	5.0%
Real Property	6,203,301	6,203,301	5,869,008	334,293	5.4%
Treasury	2,890,107	2,929,515	2,833,836	95,679	3.3%
Liquor Commission	4,552,583	4,552,583	3,334,015	1,218,568	26.8%
Department of Information Technology					
Administration	14,272,531	14,285,651	13,885,141	400,510	2.8%
Applications	2,089,422	2,299,615	2,143,105	156,510	6.8%
Technical Support	1,460,742	1,460,742	1,439,025	21,717	1.5%
Operations	1,572,578	1,628,823	1,523,262	105,561	6.5%
ERP-CSR	2,712,658	2,721,626	2,346,400	375,226	13.8%
Communications and Network	1,345,792	1,364,462	1,338,532	25,930	1.9%
Other Grants*	-	1,415,040	1,083,946	331,094	23.4%
Department of the Corporation Counsel					
Legal Services	11,778,853	12,017,726	8,949,267	3,068,459	25.5%
Ethics Commission	651,068	869,287	732,270	137,017	15.8%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2022, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Department of the Prosecuting Attomey					
Administration	6,170,310	6,170,310	5,507,465	662,845	10.7%
Prosecution	15,601,467	16,149,665	14,359,047	1,790,618	11.1%
Victim/Witness Assistance	3,086,504	3,696,565	2,895,433	801,132	21.7%
Other Grants*	-	278,006	165,404	112,602	40.5%
Department of Human Resources					
Administration	1,504,654	1,504,654	1,316,276	188,378	12.5%
Employment and Personnel Services	1,808,349	1,911,474	1,776,713	134,761	7.1%
Classification and Pay	849,230	849,230	842,777	6,453	0.8%
Industrial Safety and Workers' Compensation	1,455,482	1,455,482	1,316,505	138,977	9.5%
Labor Relations and Training	1,393,049	1,393,049	1,261,164	131,885	9.5%
Other Grants*	-	100,000	100,000	-	0.0%
Department of Planning and Permitting					
Administration	5,992,700	6,199,181	4,706,387	1,492,794	24.1%
Site Development	4,488,273	4,608,869	4,390,363	218,506	4.7%
Land Use Permits	1,539,887	1,584,747	1,405,691	179,056	11.3%
Planning	2,783,770	3,008,683	2,627,227	381,456	12.7%
Customer Service	3,376,424	3,443,953	2,996,056	447,897	13.0%
Building	6,583,810	6,780,917	6,462,690	318,227	4.7%
Other Grants*	-	13,977	-	13,977	100.0%
Department of Facility Maintenance					
Administration	11,212,390	11,478,431	10,863,283	615,148	5.4%
Road Maintenance	30,384,932	31,732,476	26,408,951	5,323,525	16.8%
Public Building and Electrical Maintenance	42,268,083	42,715,031	35,310,456	7,404,575	17.3%
Automotive Equipment Services	17,456,600	19,694,152	18,383,648	1,310,504	6.7%
Department of Design and Construction					
Administration	2,385,450	2,507,282	1,735,139	772,143	30.8%
Project and Construction Mgmt	12,613,803	13,584,574	12,574,307	1,010,267	7.4%
Land Services	2,941,097	3,005,687	2,856,177	149,510	5.0%
Department of Land Management					
Administration	3,363,996	3,363,996	2,705,092	658,904	19.6%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2022, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Police Department					
Police Commission	580,852	614,509	557,811	56,698	9.2%
Office of the Chief of Police	7,426,449	7,711,848	7,364,625	347,223	4.5%
Patrol	162,551,927	165,832,621	162,239,410	3,593,211	2.2%
Special Field Operations	14,119,534	14,690,782	14,040,095	650,687	4.4%
Investigations	41,783,695	42,545,831	39,718,613	2,827,218	6.6%
Support Services	34,264,298	34,766,092	33,145,544	1,620,548	4.7%
Administrative Services	39,438,319	39,750,769	30,255,027	9,495,742	23.9%
Other Grants*	-	5,415,994	3,445,756	1,970,238	36.4%
Fire Department					
Fire Commission	20,008	20,008	1,305	18,703	93.5%
Administration	3,314,115	3,314,115	3,140,720	173,395	5.2%
Fire Communication Center	3,984,651	4,029,651	3,886,732	142,919	3.5%
Fire Prevention	5,666,830	5,666,830	5,482,829	184,001	3.2%
Mechanic Shop	3,027,560	3,027,560	2,837,507	190,053	6.3%
Training and Research	3,709,693	4,069,693	3,874,608	195,085	4.8%
Radio Shop	350,012	360,012	343,841	16,171	4.5%
Fire Operations	116,845,036	116,430,036	112,213,786	4,216,250	3.6%
Planning and Development	1,013,358	1,013,358	868,920	144,438	12.6%
City Radio System	306,842	306,842	268,248	38,594	48.3%
Other Grants*	-	2,918,950	1,508,304	1,410,646	48.3%
Department of Emergency Services					
Administration	914,762	907,351	844,598	62,753	6.9%
Emergency Medical Services	40,919,253	40,919,253	34,237,224	6,682,029	16.3%
Ocean Safety	17,144,491	17,749,952	16,297,080	1,452,872	8.2%
Health Services	837,684	1,071,922	1,025,784	46,138	4.3%
Other Grants*	-	1,787,474	1,601,872	185,602.30	10.4%
Department of Emergency Management					
Emergency Management Coordination	1,298,758	1,364,043	1,228,742	135,300	9.9%
Other Grants*	-	16,006,993	2,772,625	13,234,369	82.7%
Department of the Medical Examiner					
Investigation of Deaths	3,565,360	3,565,360	3,149,666	415,694	11.7%
Other Grants*	-	146,153	102,513	43,640	29.9%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2022, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Department of Community Services					
Administration	664,879	714,879	689,424	25,455	3.6%
Office of Grants Management	19,813,556	19,813,556	9,233,401	10,580,155	53.4%
Elderly Affairs	17,645,282	22,151,948	11,481,803	10,670,145	48.2%
WorkHawaii	13,727,742	14,234,242	7,738,082	6,496,160	45.6%
Community Assistance	67,179,367	79,635,401	66,799,518	12,835,883	16.1%
Community Based Development	25,196,658	46,363,658	27,311,554	19,052,104	41.1%
Other Grants*	-	52,882	-	52,882	100.0%
Department of Parks and Recreation					
Administration	3,128,701	3,182,410	2,637,577	544,833	17.1%
Urban Forestry	11,174,622	11,437,741	10,768,061	669,680	5.9%
Maintenance Support Services	8,098,171	8,306,062	7,832,487	473,575	5.7%
Recreation Services	25,055,815	25,120,815	22,831,857	2,288,958	9.1%
Grounds Maintenance	38,453,615	38,906,661	36,687,081	2,219,580	5.7%
Other Grants*	-	847,797	10,503	837,294	98.8%
Department of Enterprise Services					
Administration	913,332	913,332	894,467	18,865	0.3%
Auditoriums	6,073,122	6,073,122	4,931,892	1,141,230	18.8%
Honolulu Zoo	7,254,611	7,254,611	7,008,713	245,898	3.4%
Golf Courses	9,937,622	10,106,630	9,890,147	216,484	2.1%
Other Grants*	-	4,674,182	4,584,581	89,601	1.9%
Department of Transportation Services					
Administration	15,147,939	15,359,548	10,488,819	4,870,729	31.7%
Transportation Performance and Development	4,368,160	4,478,141	4,165,766	312,375	7.0%
Transportation Mobility	315,253,611	315,449,123	285,272,788	30,176,335	9.6%
Transportation Engineering	2,400,724	2,565,599	2,452,896	112,703	4.4%
Transportation Technology	5,352,830	5,425,898	4,426,965	998,933	18.4%
Other Grants*	-	514,440	514,440	-	0.0%
Department of Environmental Services					
Administration	13,033,179	13,033,179	10,584,034	2,449,145	18.8%
Refuse Collection and Disposal	166,836,544	168,089,245	155,293,712	12,795,533	7.6%
Environmental Quality	9,138,532	9,138,532	5,568,896	3,569,636	39.1%
Collection System Maintenance	28,220,168	28,220,168	20,012,690	8,207,478	29.1%
Wastewater Engineering and Collection	2,105,096	2,105,096	516,653	1,588,443	75.5%
Treatment and Disposal	85,593,248	85,593,248	64,726,463	20,866,785	24.4%

Table II-2 (continued)
EXECUTIVE OPERATING BUDGET
Appropriations for FY 2022, All Funds

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Debt Service and Miscellaneous					
City and County Bonds	598,654,000	598,654,000	516,045,573	82,608,427	13.8%
County Pension and Related Expenses	5,000	5,000	4,856	144	2.9%
Retirement System Contributions	266,052,000	266,052,000	247,994,571	18,057,429	6.8%
FICA Tax- Employer's Share	36,939,000	36,537,000	30,473,210	6,063,790	16.6%
Hawaii Employer-Union Health Benefits Trust Fund	68,952,000	68,952,000	59,755,108	9,196,892	13.3%
Workers' Compensation	24,088,000	24,490,000	22,072,528	2,417,472	9.9%
Unemployment Compensation	800,000	800,000	707,396	92,604	11.6%
Provision for Other Post-Employment Benefits and Multimodal Municipal Transportation System	197,569,000	197,569,000	197,569,000	-	0.0%
Provision for Salary Adjustments and Accrued Vacation Pay**	2,090,000	1,872,338	217,662	1,872,338	89.6%
Provision for Vacant Positions**	17,372,385	7,383,136	9,989,249	7,383,136	42.5%
Provision for Grants, Partnerships and Security**	1,200,000	202,276	997,724	202,276	100.0%
Provision for Judgments, Settlements and Losses	9,500,000	9,500,000	7,792,712	1,707,288	18.0%
Provision for Risk Management	17,504,000	17,504,000	14,062,449	3,441,551	19.7%
Provision for Energy Costs**	5,500,000	3,358,445	2,141,555	3,358,445	61.1%
TOTAL EXECUTIVE APPROPRIATIONS	2,931,751,571	3,109,326,133	2,726,187,379	383,138,754	12.3%

* Other Grants reflects single purpose monies received from the state and federal governments. Although they are allocated for specific activities within the department, they are broken out into the Other Grants separate line item if those activities did not have any state or federal grant appropriations in Ordinance 21-20.

** Unlike other activities, for these line items the difference between the original appropriation and the adjusted appropriation is treated as the amount expended because it is the amount transferred to other line items for expenditure. The lapsed amount, then, is the adjusted appropriation. The percent lapsed is computed based on the budgeted appropriation, rather than on the adjusted appropriation.

**Table II-3
LEGISLATIVE BUDGET
Appropriations for FY 2022, All Funds**

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
City Council					
Administration	7,990,317	7,990,317	6,045,923	1,944,394	24.3%
Council Allotment	270,000	270,000	225,691	44,309	16.4%
Office of Council Services					
Administration	1,072,378	1,072,378	796,873	275,505	25.7%
Legal Assistance	847,990	847,990	605,629	242,361	28.6%
Organized Research and Analysis	671,000	671,000	594,389	76,611	11.4%
Revisor and References	141,420	141,420	129,580	11,840	8.4%
City Clerk					
Administration	492,263	492,263	400,508	91,755	18.6%
Support Services	292,213	292,213	278,286	13,927	4.8%
Council Assistance	1,056,818	1,056,818	808,491	248,327	23.5%
Elections	2,729,229	2,729,229	2,020,471	708,758	26.0%
City Auditor					
Administration	654,518	654,518	551,881	102,637	15.7%
Audit	795,879	795,879	678,259	117,620	0.0%
Financial Audit	430,000	430,000	430,000	-	27.4%
Miscellaneous					
Retirement System	2,790,675	2,790,675	2,790,675	-	0.0%
FICA	933,524	933,524	743,034	190,491	20.4%
EUTF	2,203,471	2,203,471	2,203,471	-	0.0%
Accumulated Vacation Leave**	90,000	90,000	-	90,000	100.0%
Unemployment Compensation	45,000	45,000	-	45,000	100.0%
TOTAL LEGISLATIVE APPROPRIATIONS	23,506,695	23,506,695	19,303,160	4,203,535	17.9%
TOTAL CITY APPROPRIATIONS	2,955,258,266	3,132,832,828	2,745,490,539	387,342,289	12.4%

** Unlike other activities, for these line items the difference between the original appropriation and the adjusted appropriation is treated as the amount expended because it is the amount transferred to other line items for expenditure. The lapsed amount, then, is the adjusted appropriation. The percent lapsed is computed based on the budgeted appropriation, rather than on the adjusted appropriation.

MAJOR APPROPRIATION LAPSES BY FUND

Table II-4 displays the amount appropriated, expended/encumbered, and lapsed by fund source. The major fund lapses for FY 2022 that were both at least \$5 million and at least ten percent of adjusted appropriations have been highlighted.³

Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
General Fund	1,726,021,796	1,726,021,796	1,552,005,875	174,015,921	10.1%
Highway Fund	119,984,540	119,984,540	104,981,987	15,002,553	12.5%
Highway Beautification and Disposal	5,095,540	5,095,540	4,611,745	483,795	9.5%
Bikeway Fund	848,403	848,403	770,714	77,689	9.2%
Sewer Fund	366,640,661	366,640,661	320,800,208	45,840,453	12.5%
Transportation Fund	226,426,990	226,426,990	186,472,767	39,954,223	17.6%
Liquor Commission Fund	7,145,547	7,145,547	5,821,872	1,323,675	18.5%
Rental Assistance Fund	233,000	233,000	167,781	65,219	28.0%
Grants in Aid Fund	9,290,916	9,290,916	9,000,291	290,625	3.1%
Hanauma Bay Nature Preserve Fund	7,118,196	7,118,196	5,249,481	1,868,715	26.3%
Solid Waste Special Fund	201,914,154	201,914,154	185,567,915	16,346,239	8.1%
Clean Water and Natural Lands Fund	245,984	245,984	37,176	208,808	84.9%
Affordable Housing Fund	445,969	445,969	58,672	387,297	86.8%
Community Development Fund	2,162,448	2,572,143	1,928,499	643,644	25.0%
Patsy T. Mink CORP Fund	34,800	34,800	-	34,800	100.0%
Waipio Peninsula Soccer Park Fund	112,100	112,100	-	112,100	100.0%
Honolulu Zoo Fund	11,568,251	11,568,251	10,462,393	1,105,858	9.6%
Golf Fund	14,586,980	14,586,980	13,890,803	696,177	4.8%
Special Events Fund	10,673,587	10,673,587	9,134,243	1,539,344	14.4%
Special Projects Fund	15,569,398	26,500,832	15,219,254	11,281,578	42.6%
Federal Grants Fund	162,432,596	316,214,995	253,355,532	62,859,463	19.9%
Housing and Community Development Rehabilitation Loan Fund	2,004,300	2,004,300	591,577	1,412,723	70.5%
Section 8 Contract Fund	63,896,178	76,347,212	65,293,886	11,053,326	14.5%
Housing Development Special Fund	805,932	805,932	67,870	738,062	91.6%
TOTAL	2,955,258,266	3,132,832,828	2,745,490,539	387,342,289	12.4%

³ Grant and special projects funds are excluded from this analysis.

III. Capital Budget

This is a comparison of actual versus budgeted revenues and expenditures for the executive capital budget over the 24-month effective appropriation period for the FY 2021 capital budget that ran from July 1, 2020 through June 30, 2022, beyond which date appropriations that were not yet encumbered or expended lapsed pursuant to Charter.⁴ The review is based on information in the executive capital budget ordinance for the most recent appropriation period (Ordinance 20-23) and an accounting report from the Department of Budget and Fiscal Services for the period ending June 30, 2022.

Overview:

- For FY 2021, the largest appropriation lapse was from the Kalihi Valley Sewers-Relief/Reconstruction project where all of the \$27 million appropriation lapsed.
- The budget function that experienced the highest rate of appropriation lapses, excluding federal funds, was Public Safety where 60.4 percent of City funds lapsed.
- Among significant fund sources, the General Improvement Bond Fund had the largest lapsing rate at 48.8 percent.

⁴ Federal grant funds are excluded from this review of lapses because federal appropriations do not lapse when city funds lapse.

A. MAJOR PROJECT LAPSES AND DETAILED RESULTS BY FUNCTION

Table III-1 displays all of the projects in the FY 2021 executive capital budget ordinance. Those projects with an asterisk are Council added projects in the FY 2021 executive capital budget ordinance. For each project, the following information is provided regarding its status at the end of the 24-month period:

"Appropriation" - Total appropriated amount as shown in the budget ordinance.

"Adjusted Appropriation" - The total appropriated amount as it may have been adjusted by any applicable grants, from either State or federal sources, or by transfers to or from other projects. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

"Expended/Encumbered" - The amount of the adjusted appropriation that was expended or encumbered during the 24-month period.

"Lapsed" - The amount that lapsed at the end of the 24-month period and its percentage of the adjusted appropriation.

The major project lapses for FY 2021 have been highlighted based on the following:

- Projects having adjusted appropriations of \$1 million or more from non-State and non-federal funds; and
- Lapses of 60 percent or more of that adjusted appropriation incurred at the end of the 24-month period.

**Table III-1
EXECUTIVE CAPITAL BUDGET FOR FY 2021
24 Month Period Ending June 30, 2022**

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
GENERAL GOVERNMENT							
STAFF AGENCIES							
Budget and Fiscal Services							
2019011	Honolulu Authority for Rapid Transit Project Contingency	GI	26,000,000	26,000,000	26,000,000	-	0.0%
1998602	Procurement of Major Equipment	GI	6,697,000	6,697,000	5,486,629	1,210,371	18.1%
1998602	Procurement of Major Equipment	HI	5,140,100	5,140,100	3,510,492	1,629,608	31.7%
1998602	Procurement of Major Equipment	WB	13,546,000	13,546,000	13,193,260	352,740	2.6%
1979110	Projects Adjustment Account	GI	1,000	1,074,802	-	1,074,802	100.0%
1979110	Projects Adjustment Account	HI	1,000	1,000	-	1,000	100.0%
PUBLIC FACILITIES--ADDITIONS AND IMPROVEMENTS							
Design and Construction							
2017073	Ala Moana Transit Plaza- Transit Oriented Development	GI	5,000,000	5,000,000	-	5,000,000	100.0%
1996007	Civic Center Improvements	GI	1,500,000	1,500,000	1,315,391	184,609	12.3%
2019090	Electrification of Transportation Infrastructure	GI	2,835,200	2,835,200	179,400	2,655,800	93.7%
2019090	Electrification of Transportation Infrastructure	FG	8,340,800	8,340,800	-	8,340,800	100.0%
1998007	Energy Conservation Improvements	GI	1,800,000	1,800,000	1,755,032	44,968	2.5%
2019079	Halawa Corporation Yard Improvements	GI	200,000	200,000	110,016	89,984	45.0%
	Hauula Civic Center Improvements	GI	500,000	500,000	26,178	473,822	94.8%
	Hauula Resilience Hub	GI	2,750,000	2,750,000	-	2,750,000	100.0%
	Kahaluu Resilience Hub	GI	250,000	250,000	-	250,000	100.0%
2020074	Kalihi-Palama Bus Facility Improvements	HI	1,000,000	1,000,000	560,108	439,892	44.0%
2019092	Medical Examiner Building Improvements and Expansion	GI	600,000	316,198	277,452	38,746	12.3%
2020072	Municipal Parking Facilities Improvement	HI	450,000	450,000	450,000	-	0.0%
19944015	Pearl City Corporation Yard Renovations	GI	2,600,000	2,700,000	2,700,000	-	0.0%
1987042	Public Building Facilities Improvements	GI	1,600,000	1,600,000	1,598,321	1,680	0.1%
	Security Cameras and Surveillance Systems on City Property	GI	2,000,000	2,000,000	-	2,000,000	100.0%
1971234	Wahiawa Corporation Yard Improvements	GI	900,000	900,000	-	900,000	100.0%
	Community Development Block Grant Program Infrastructure Upgrade	CD	-	428,750	428,750	-	0.0%
FACILITIES MAINTENANCE							
2019151	Municipal Facilities Improvements	GI	1,500,000	1,500,000	40,000	1,460,000	97.3%
PUBLIC FACILITIES-IMPROVEMENTS--LAND ACQUISITIONS							
Design and Construction							
1971153	Land Expenses	CP	200,000	200,000	15,296	184,704	92.4%
	General Improvement Bond Fund	GI	56,733,200	57,623,200	39,488,419	18,134,781	31.5%
	Highway Improvement Bond Fund	HI	6,591,100	6,591,100	4,520,600	2,070,500	31.4%
	Solid Waste Improvement Bond Fund	WB	13,546,000	13,546,000	13,193,260	352,740	2.6%
	Federal Grants Fund	FG	8,340,800	8,340,800	-	8,340,800	100.0%
	Capital Projects	CP	200,000	200,000	15,296	184,704	92.4%
	Community Development Fund	CD	-	428,750	428,750	-	0.0%
TOTAL GENERAL GOVERNMENT			85,411,100	86,729,850	57,646,325	29,083,525	33.5%

Table III-1 (continued)							
EXECUTIVE CAPITAL BUDGET FOR FY 2021							
24 Month Period Ending June 30, 2022							
Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
PUBLIC SAFETY							
POLICE STATIONS AND BUILDINGS							
Design and Construction							
2015082	HPD Headquarters Improvements	GI	2,000,000	1,010,000	145,668	864,332	85.6%
2002025	Police Stations Buildings Improvements	GI	2,000,000	2,000,000	1,999,999	1	0.0%
2006034	Upgrade Security Camera Systems at Various Police Facilities	GI	500,000	500,000	500,000	-	0.0%
POLICE							
	District 4 Community Policing Equipment	GI	50,000	50,000	-	50,000	100.0%
	District 5 Community Policing Equipment	GI	70,000	70,000	-	70,000	100.0%
2005028	Honolulu Police Department Equipment Acquisition	GI	9,673,900	9,673,900	5,216,859	4,457,041	46.1%
FIRE STATIONS AND BUILDINGS							
Design and Construction							
1998021	Fire Station Buildings Improvements	GI	3,570,000	3,570,000	3,405,519	164,481	4.6%
2007012	Honolulu Fire Department NPDES Small MS4 Permit Program	GI	780,000	780,000	400,070	379,930	48.7%
	Olomana Fire Station 39	GI	4,200,000	4,200,000	-	4,200,000	100.0%
2017076	Pearl City Fire Station Relocation	GI	500,000	500,000	-	500,000	100.0%
2002023	Waialua Fire Station Relocation	GI	150,000	150,000	144,444	5,556	3.7%
FIRE							
2005201	Honolulu Fire Department Equipment Acquisition	GI	7,414,200	7,414,200	290,810	7,123,390	96.1%
TRAFFIC IMPROVEMENTS							
Transportation Services							
1999311	Computerized Traffic Control System	HI	800,000	800,000	-	800,000	100.0%
1999311	Computerized Traffic Control System	FG	3,200,000	3,400,000	-	3,400,000	100.0%
	Crosswalk & Traffic Lights Installation-Kapolei Parkway	HI	1,000,000	1,000,000	-	1,000,000	100.0%
	Crosswalk & Traffic Lights Installation-Waianae	HI	500,000	500,000	-	500,000	100.0%
	Kamehameha IV Road and Kahauiki Street Safe Routes to School Improvements	HI	400,000	400,000	-	400,000	100.0%
	Meheula Parkway Improvements	HI	900,000	900,000	-	900,000	100.0%
2020028	Oahu Traffic Signal Controller Modernization Phase 1	HI	1,630,000	1,630,000	-	1,630,000	100.0%
2020028	Oahu Traffic Signal Controller Modernization Phase 1	FG	6,920,000	6,920,000	-	6,920,000	100.0%
1996306	Traffic Improvements at Various Locations	HI	2,029,000	2,029,000	1,990,093	38,907	1.9%
1996306	Traffic Improvements at Various Locations	FG	6,816,000	13,151,589	8,920,604	4,230,985	32.2%
1999312	Traffic Signals at Various Locations	HI	900,000	900,000	-	900,000	100.0%
1999312	Traffic Signals at Various Locations	FG	3,800,000	4,500,500	-	4,500,500	100.0%
FLOOD CONTROL							
Design and Construction							
	Dredging of Windward Waterways	GI	1,000,000	1,000,000	-	1,000,000	100.0%
2000101	Flood Control Improvements at Various Locations	GI	2,720,000	2,720,000	2,100,384	619,616	22.8%
	Halawa Stream Dredging	GI	500,000	500,000	-	500,000	100.0%
	Makalapa Ditch Flood Control Improvements	GI	700,000	700,000	-	700,000	100.0%
OTHER PROTECTION							
Design and Construction							
2019082	Emergency Operations Center	GI	1,000,000	1,000,000	-	1,000,000	100.0%
2017075	Ocean Safety Lifeguard Towers Replacement and Facilities Improvements	GI	200,000	200,000	194,000	6,000	3.0%
2017089	Ocean Safety Stations	GI	1,000,000	1,000,000	1,000,000	-	0.0%
OTHER PROTECTION - MISCELLANEOUS							
Design and Construction							
2005002	Drainage Outfall Improvements	GI	600,000	600,000	7,429	592,571	98.8%
1997504	Kuahea Street Area Movement, Palolo Valley	GI	4,000,000	4,000,000	1,810,000	2,190,000	54.8%
2001154	Rock Slide Potential Inspections and Mitigative Improvements	HI	900,000	900,000	874,527	25,473	2.8%
	General Improvement Bond Fund	GI	42,628,100	41,638,100	17,215,181	24,422,919	58.7%
	Highway Improvement Bond Fund	HI	9,059,000	9,059,000	2,864,620	6,194,380	68.4%
	Federal Grants Fund	FG	20,736,000	27,972,089	8,920,604	19,051,485	68.1%
TOTAL PUBLIC SAFETY			72,423,100	78,669,189	29,000,405	49,668,784	63.1%

Table III-1 (continued)
 EXECUTIVE CAPITAL BUDGET FOR FY 2021
 24 Month Period Ending June 30, 2022

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
HIGHWAYS AND STREETS							
BIKEWAYS AND BIKEPATHS							
Transportation Services							
1979063	Bikeway Improvements	FG	1,000,000	1,000,000	232,000	768,000	76.8%
1979063	Bikeway Improvements	CP	750,000	750,000	578,117	171,883	22.9%
	North King Street Bikeway Improvements	HI	1,000,000	1,000,000	-	1,000,000	100.0%
HIGHWAYS, STREETS AND ROADWAYS							
Design and Construction							
	Acquisition of Kahaluu Roadway	HI	75,000	75,000	-	75,000	100.0%
	Bougainville Drive Protected Barriers for Pedestrian Safety	HI	300,000	300,000	-	300,000	100.0%
1988001	Curb Ramps at Various Locations, Oahu	HI	1,310,000	1,310,000	990,000	320,000	24.4%
1998515	Guardrail Improvements	HI	250,000	250,000	250,000	0	0.0%
	Guardrail Replacements	HI	900,000	900,000	-	900,000	100.0%
	Old Kalaniana'ole Highway Pedestrian and Roadway Safety Improvements	HI	250,000	250,000	-	250,000	100.0%
1997502	Rehabilitation of Streets	HI	54,000,000	54,000,000	50,000,000	4,000,000	7.4%
	Renton Road Improvements	HI	2,000,000	2,000,000	-	2,000,000	100.0%
1989123	Salt Lake Boulevard Widening	HI	16,410,000	16,410,000	16,400,000	10,000	0.1%
1989123	Salt Lake Boulevard Widening	FG	7,164,000	7,164,000	-	7,164,000	100.0%
1989123	Salt Lake Boulevard Widening	CP	0	11,696,990	11,480,148	216,842	1.9%
1991064	Utility Share Expenses	CP	100,000	100,000	-	100,000	100.0%
2017097	Waianae Coast Emergency Access Roads	HI	3,000,000	3,000,000	-	3,000,000	100.0%
FACILITIES MAINTENANCE							
2018087	Reconstruction of Sidewalks	GI	1,150,000	1,150,000	-	892	0.1%
	Tantalus Drive and Round Top Drive-Security Improvements	HI	500,000	500,000	1,149,108	500,000	100.0%
TRANSPORTATION SERVICES							
	Complete Streets-Aiea	GI	500,000	500,000	-	500,000	100.0%
	Complete Streets-Makiki	GI	500,000	500,000	-	500,000	100.0%
2019026	Intermodal Connectivity Improvements	GI	14,400,000	14,400,000	6,294,390	8,105,610	56.3%
2019026	Intermodal Connectivity Improvements	FG	22,000,000	22,000,000	-	22,000,000	100.0%
2005025	Makakilo Drive Extension	HI	1,500,000	1,500,000	-	1,500,000	100.0%
2003223	Waipio Point Access Road Improvements	HI	1,000,000	1,000,000	-	1,000,000	100.0%
BRIDGES, VIADUCTS, AND GRADE SEPARATION							
Design and Construction							
2020105	Ala Wai Bridge	HI	850,000	850,000	-	658,000	77.4%
2020105	Ala Wai Bridge	FG	880,000	880,000	192,000	112,000	12.7%
	Anahulu Bridge Improvements	HI	6,000,000	6,000,000	768,000	6,000,000	100.0%
1998520	Bridge Rehabilitation at Various Locations	HI	2,500,000	2,500,000	-	207,368	8.3%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2021
24 Month Period Ending June 30, 2022

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
STORM DRAINAGE							
Design and Construction							
2000052	Drainage Improvements at Various Locations	HI	9,190,000	9,190,000	7,953,323	1,236,677	13.5%
2017074	Erosion Control Improvements	HI	2,850,000	2,850,000	2,608,412	241,588	8.5%
2019081	Reconstruction of Storm Drain Structures, Kakaako	HI	1,600,000	1,600,000	-	1,600,000	100.0%
FACILITIES MAINTENANCE							
2019152	NPDES Improvements Along Kuhio Beach	HI	250,000	250,000	-	250,000	100.0%
2018071	NPDES MS4 Erosion Prone Area Improvements	HI	900,000	900,000	793,907	106,093	11.8%
2018072	NPDES MS4 Retrofit Structural BMP Improvements Program	HI	650,000	650,000	100,000	550,000	84.6%
2018073	NPDES MS4 Structural BMPS For City Industrial Facilities	HI	750,000	750,000	-	750,000	100.0%
2018074	NPDES MS4 Structural BMPS For Trash Reduction	HI	650,000	650,000	-	650,000	100.0%
2018075	NPDES MS4 TMDL Structural BMP Improvements Program	HI	1,150,000	1,150,000	-	1,150,000	100.0%
2019074	Rehabilitation/Reconstruction of Storm Drains and Catch Basins	HI	2,000,000	2,000,000	800,000	1,200,000	60.0%
STREET LIGHTING							
Design and Construction							
2007043	Street Light Meter Cabinets, Transformers and Street Lighting Improvements	HI	500,000	500,000	500,000	-	0.0%
	General Improvement Bond Fund	GI	16,550,000	16,550,000	6,294,390	9,106,502	55.0%
	Highway Improvement Bond Fund	HI	112,335,000	112,335,000	82,312,749	29,454,726	26.2%
	Capital Projects Funds	CP	850,000	12,546,990	12,058,265	488,725	3.9%
	Federal Grants Fund	FG	31,044,000	31,044,000	424,000	30,044,000	96.8%
TOTAL HIGHWAYS AND STREETS			160,779,000	172,475,990	101,089,404	69,093,953	40.1%

Table III-1 (continued)
 EXECUTIVE CAPITAL BUDGET FOR FY 2021
 24 Month Period Ending June 30, 2022

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
SANITATION							
WASTE COLLECTION AND DISPOSAL							
Environmental Services							
2018046	Enhanced Materials Recovery Facility	WB	5,050,000	5,050,000	5,050,000	-	0.0%
2017050	Kapaa Transfer Station Renovation	WB	1,201,000	1,201,000	901,000	300,000	25.0%
2017047	Kawailoa Transfer Station-Loadout Modification	WB	3,002,000	3,002,000	3,000,414	1,586	0.1%
2015046	Keehi Transfer Station-Modifications/Rehabilitation	WB	2,425,000	2,425,000	1,606,745	818,255	33.7%
2014052	Leeward Refuse Collection Baseyard and Convenience Center	WB	7,502,000	7,502,000	7,500,000	2,000	0.0%
2010046	Oahu Sanitary Landfill	WB	501,000	501,000	500,000	1,000	0.2%
2010054	Refuse Facilities Improvements at Various Locations	WB	1,350,000	1,350,000	1,169,731	180,269	13.4%
2014063	Solid Waste to Energy Facility (H-Power) Improvements	WB	302,000	302,000	302,000	-	0.0%
2018050	Solid Waste to Energy Facility (HPower) Conversion Technologies	WB	15,002,000	15,002,000	15,002,000	-	0.0%
2014065	Solid Waste to Energy Facility (H-Power) Conversion Improvements	WB	8,003,000	8,003,000	8,000,000	3,000	0.0%
2002008	Waipahu Incinerator Site-Area Cleanup and Improvements	WB	3,075,000	3,075,000	1,875,000	1,200,000	39.0%

Table III-1 (continued)
 EXECUTIVE CAPITAL BUDGET FOR FY 2020
 24 Month Period Ending June 30, 2021

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
SANITATION							
Environmental Services							
2017060	Ala Moana Wastewater Force Main No. 2 System	CP	1,500,000	1,500,000	1,500,000	-	0.0%
2013048	Ala Moana Wastewater Pump Station Upgrade	CP	103,000	103,000	-	103,000	100.0%
2011046	Awa Street Wastewater Pump Station, Force Main and Sewer System Improvements	CP	2,252,000	2,252,000	1,950,000	302,000	13.4%
2019046	Awa Street WWPS Improvements/Rehab	CP	501,000	501,000	501,000	-	0.0%
2016046	Beachwalk Wastewater Pump Station Force Main System	CP	103,000	103,000	-	103,000	100.0%
2017059	Beretania Street Sewer Improvements	CP	3,003,000	3,003,000	411,400	2,591,600	86.3%
2009099	Dowsett Highlands Relief Sewer	CP	103,000	103,000	-	103,000	100.0%
2017053	ENV Support Facilities at Honouliuli WWTP	CP	71,202,000	71,202,000	71,202,000	-	0.0%
2012056	Ewa Beach Wastewater Pump Station Force Main System Improvements	CP	1,001,000	1,001,000	1,001,000	-	0.0%
2019065	HART Street/Waiakamilo Road Replacement Sewer	CP	3,602,000	3,602,000	1,824,533	1,777,467	49.3%
2017054	HART Street WWPS Force Main Improvements Phase 3	CP	752,000	752,000	-	752,000	100.0%
2009111	Heeia Wastewater Pump Station Improvements	CP	5,701,000	4,268,590	200,000	4,068,590	95.3%
2013051	Honolulu Area Wastewater Pump Station Facilities Improvements	CP	203,000	203,000	201,000	2,000	1.0%
2012058	Honouliuli Wastewater Treatment Plant Secondary Treatment	CP	103,000	103,000	100,000	3,000	2.9%
2013053	Honouliuli Wastewater Treatment Plant Upgrade, Phase 2	CP	103,000	103,000	101,000	2,000	1.9%
2010053	Honouliuli WWTP Effluent Treatment & Outfall Improvements	CP	1,001,000	1,001,000	1,001,000	-	0.0%
2019047	Honouliuli WWTP Headworks, Pump Station, Energy Savings, Solids Process Upgrades and Misc. Improvements	CP	7,001,000	7,001,000	7,001,000	-	0.0%
2019067	Iwilei, King Street, Kokea Street Area Sewer Improvements	CP	1,001,000	1,001,000	1,001,000	-	0.0%
2017055	Kailua Wastewater Treatment Plant-Solids Handling System Improvements	CP	1,000,000	1,000,000	1,000,000	-	0.0%
2016050	Kailua Wastewater Treatment Plant Upgrade, Phase 2	CP	1,003,000	1,003,000	1,000,000	3,000	0.3%
2019071	Kailua WWTP-Primary and Secondary Tank Rehabilitation	CP	7,002,000	7,002,000	2,000,000	5,002,000	71.4%
2019064	Kailua WWTP-TFSC & Biotower System Improvements	CP	1,001,000	1,001,000	1,001,000	-	0.0%
2005075	Kalihi/Nuuanu Area Sewer Rehabilitation/Improvements	CP	301,000	301,000	301,000	-	0.0%
2018058	Kalihi Valley Sewers-Relief/Reconstruction	CP	27,002,000	27,002,000	-	27,002,000	100.0%
2008073	Laie Sewers & LPSS Pump Improvements	CP	501,000	501,000	-	501,000	100.0%
2019048	Lualualei WWPS Force Main-Shoreline Protection	CP	201,000	201,000	201,000	-	0.0%
1997812	Pacific Palisades WWPS, Force Main, and Sewer Improvements	CP	501,000	501,000	501,000	-	0.0%
2008078	Palolo Valley Sewer Rehabilitation/Relief	CP	501,000	501,000	501,000	-	0.0%
2018057	Pearl City/Waipahu Trunk Sewer	CP	1,001,000	1,001,000	1,001,000	-	0.0%
2013060	Pearl City Wastewater Pump Station, Force Main, and Sewer System Alternatives	CP	382,000	382,000	382,000	-	0.0%
2001124	Project Management for Wastewater Projects	CP	8,121,000	8,121,000	5,876,772	2,244,228	27.6%
1994511	Sand Island Wastewater Treatment Plant Expansion, Primary Treatment	CP	102,000	102,000	100,000	2,000	2.0%
2013062	Sand Island Wastewater Treatment Plant Improvement/Upgrade	CP	900,000	900,000	900,000	-	0.0%
2012059	Sand Island Wastewater Treatment Plant Secondary Treatment	SR	552,500,000	552,500,000	460,985,902	91,514,098	16.6%
2012059	Sand Island Wastewater Treatment Plant Secondary Treatment	CP	16,001,000	16,001,000	16,000,000	1,000	0.0%
2007068	Sewer Condition Assessment Program	CP	3,001,000	3,001,000	3,000,000	1,000	0.0%
2019070	Sewer Easement Access Improvements-Mililani Effluent Sewer, Pacific Palisades, Aiea, Halawa Heights	CP	602,000	602,000	601,000	1,000	0.2%
2013063	Sewer I/I Relief and Rehabilitation Projects-Ala Moana Tributary Basin	CP	7,603,000	7,603,000	850,000	6,753,000	88.8%
2013066	Sewer I/I Relief and Rehabilitation Projects-Windward Area	CP	4,702,000	6,134,410	6,134,410	-	0.0%
2000071	Sewer Mainline and Lateral Projects	CP	15,300,000	15,300,000	15,299,656	344	0.0%
2020049	Sewer Relief & Rehabilitation-Leeward Area	CP	801,000	801,000	801,000	-	0.0%
2017056	Waimalu Wastewater Pump Station Force Main System	CP	151,000	151,000	151,000	-	0.0%
2019066	Waimanalo Wastewater Treatment Plant-Solids Systems Improvements	CP	1,000,000	1,000,000	1,000,000	-	0.0%
2012053	Waipahu WWPS Force Mains Rehabilitation	CP	1,002,000	1,002,000	1,001,000	1,000	0.1%
2000038	Wastewater Equipment	CP	16,758,540	16,758,540	9,359,031	7,399,509	44.2%
1998806	Wastewater Facilities Replacement Reserve	CP	5,000,000	5,000,000	-	5,000,000	100.0%
2003151	Wastewater Planning and Programming	CP	339,000	339,000	262,422	76,578	22.6%
2007073	Wastewater Program Management	CP	2,002,000	2,002,000	2,000,000	2,000	0.1%
2001062	Wastewater Treatment Plant, Pump Station, and Force Main Projects	CP	16,600,000	16,600,000	12,306,282	4,293,718	25.9%
	Sewer Revenue Bond Improvement Fund	SR	552,500,000	552,500,000	460,985,902	91,514,098	16.6%
	Solid Waste Improvement Bond Fund	WB	47,413,000	47,413,000	44,906,891	2,506,109	5.3%
	Capital Projects Funds	CP	239,616,540	239,616,540	171,525,507	68,091,033	28.4%
TOTAL SANITATION			839,529,540	839,529,540	677,418,300	162,111,240	19.3%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2021
24 Month Period Ending June 30, 2022

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>HUMAN SERVICES</u>							
HUMAN SERVICES							
Community Services							
2016001	Affordable Housing Strategic Development Program	CP	8,114,000	8,114,000	2,823,587	5,290,413	65%
2007076	Community Development Block Grant (CDBG) Program	CD	380,000	4,222,000	3,693,382	528,618	12.5%
	Community Revitalization Initiative in Council District 1	GI	1,000,000	1,000,000	-	1,000,000	100.0%
1995207	Emergency Solutions Grants (ESG) Program	FG	630,673	1,282,405	642,967	639,438	49.9%
2007077	Home Investment Partnerships (HOME) Program	FG	430,000	902,000	748,482	153,518	17.0%
2000119	Housing Opportunities for Persons with AIDs (HOPWA) Program	FG	616,157	634,161	634,161	-	0.0%
	General Improvement Bond Fund	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	Capital Projects Funds	CP	8,114,000	8,114,000	2,823,587	5,290,413	65.2%
	Community Development Fund	CD	380,000	4,222,000	3,693,382	528,618	12.5%
	Federal Grants Fund	FG	1,676,830	2,818,566	2,025,610	792,956	28.1%
	TOTAL HUMAN SERVICES		11,170,830	16,154,566	8,542,579	7,611,987	47.1%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2021
24 Month Period Ending June 30, 2022

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
CULTURE - RECREATION							
PARTICIPANT, SPECTATOR AND OTHER RECREATION							
Design and Construction							
	Aina Haina Dog Park	GI	1,015,000	1,015,000	-	1,015,000	100.0%
1998107	Ala Moana Regional Park	GI	1,548,217	1,548,217	1,547,924	293	0.0%
1998107	Ala Moana Regional Park	CP	451,783	451,783	451,783	-	0.0%
	Ala Wai Community Park Recreation Center Improvements	GI	2,910,000	2,910,000	-	2,910,000	100.0%
2019137	Ala Wai Promenade Improvements	GI	100,000	100,000	-	100,000	100.0%
	Canoe Halau Improvements at Maunaloa Bay Beach Park	GI	200,000	200,000	-	200,000	100.0%
2019084	Community Development Block Grant Program Park Improvements	CD	6,454,872	6,454,872	5,744,720	710,152	11.0%
	Decorte Neighborhood Park Improvements	GI	250,000	250,000	-	250,000	100.0%
20070054	Department of Parks and Recreation NPDES Small MS4 Permit Program	GI	1,470,000	1,470,000	1,469,800	200	0.0%
2014073	Division of Urban Forestry (DUF) Admin & Arboriculture Relocation	GI	500,000	500,000	100,000	400,000	80.0%
	Foster Botanical Garden Master Plan Improvements	GI	3,000,000	3,000,000	-	3,000,000	100.0%
2018092	Hahaione Neighborhood Park	GI	1,535,000	1,535,000	-	1,535,000	100.0%
2002053	Hans L'Orange Neighborhood Park	GI	200,000	200,000	-	200,000	100.0%
	Hauula Community Park Improvements	GI	2,900,000	2,900,000	-	2,900,000	100.0%
2018093	Hoa Aloha Neighborhood Park Community Garden	GI	70,000	70,000	-	70,000	100.0%
2019139	Kahala Community Park	GI	1,200,000	1,200,000	-	1,200,000	100.0%
	Kahuuku District Park Playcourt	GI	950,000	950,000	-	950,000	100.0%
2020079	Kailua Beach Park Boat Ramp	GI	2,000,000	2,000,000	594,582	1,405,418	70.3%
	Kailua District Park Improvements	GI	10,000	10,000	-	10,000	100.0%
2020106	Kakaako Parks	GI	50,000	50,000	-	50,000	100.0%
2018095	Kalaniana'ole Beach Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
2014094	Kalihi-Waena Neighborhood Park	GI	25,000	25,000	-	25,000	100.0%
	Kamalii Mini Park	GI	50,000	50,000	-	50,000	100.0%
	Kamehameha Community Park Improvements	GI	390,000	390,000	-	390,000	100.0%
1971391	Kaneohe District Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	Kapapapuhi Point Park-West Loch	GI	1,000,000	1,000,000	-	1,000,000	100.0%
1998100	Kapiolani Regional Park, Waikiki	GI	1,407,661	1,407,661	765,047	642,614	45.7%
1998100	Kapiolani Regional Park, Waikiki	CP	92,339	92,339	92,339	-	0.0%
1973116	Kapolei Regional Park	CP	195,479	195,479	-	195,479	100.0%
2015107	Kilauea District Park	GI	2,325,000	2,325,000	-	2,325,000	100.0%
1971364	Koko Head District Park	GI	4,800,000	4,800,000	-	4,800,000	100.0%
	Koko Head Neighborhood Park	GI	400,000	400,000	-	400,000	100.0%
2014093	Kualoa Regional Park	CP	854,120	854,120	553,528	300,592	35.2%
	Lanikai Beach Right of Way Repairs	GI	200,000	200,000	-	200,000	100.0%
2016072	Maili Beach Park	GI	500,000	500,000	-	500,000	100.0%
	Makaha Beach Park Septic Replacement	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	Manoa Valley District Park Swimming Pool Improvements	GI	3,750,000	3,750,000	-	3,750,000	100.0%
	Neil S. Blaisdell Park	GI	250,000	250,000	-	250,000	100.0%
1995127	One'ula Beach Park, Ewa Beach	GI	50,000	50,000	-	50,000	100.0%
1998040	Patsy T. Mink Central Oahu Reginal Park	GI	2,471,621	2,471,621	-	2,471,621	100.0%
1998040	Patsy T. Mink Central Oahu Reginal Park	CP	203,379	203,379	-	203,379	100.0%
2019086	Pauahi Recreation Center	GI	500,000	500,000	-	500,000	100.0%
2015104	Pearl Harbor Historic Trail	GI	600,000	600,000	-	600,000	100.0%
2000004	Petrie Community Park	GI	190,000	190,000	-	190,000	100.0%
2009041	Preservation and Conservation Lands	CP	17,982,000	17,982,000	-	17,982,000	100.0%
2002072	Renovate Recreational Facilities	GI	12,000,000	12,000,000	11,516,211	483,789	4.0%
	Sandy Beach Park (Wawamalu)	GI	900,000	900,000	-	900,000	100.0%
	Security Camera and Surveillance Systems in Various Parks	GI	2,000,000	2,000,000	-	2,000,000	100.0%
	Waianae District Park	GI	3,500,000	3,500,000	-	3,500,000	100.0%
1998117	Waikiki War Memorial Complex/Waikiki Beach	GI	250,000	250,000	250,000	-	0.0%
2011113	Waimanalo District Park	GI	1,200,000	1,200,000	69,988	1,130,012	94.2%
	West Oahu Animal Control Facility and Dog Park	GI	300,000	300,000	-	300,000	100.0%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2021
24 Month Period Ending June 30, 2022

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>SPECIAL RECREATION FACILITIES</u>							
Design and Construction							
2014076	Blaisdell Center Redevelopment	GI	43,600,000	43,600,000	32,582,227	11,017,773	25.3%
1999012	Enterprise Facilities Improvements	GI	2,500,000	2,500,000	-	2,500,000	100.0%
2001053	Golf Course Improvements	GI	1,570,000	1,670,000	1,670,000	-	0.0%
2017079	Honolulu Zoo-Bird Facility	GI	2,120,000	2,120,000	2,118,951	1,049	0.0%
2017078	Honolulu Zoo-Hawaii Island Exhibit	GI	1,600,000	1,600,000	300,000	1,300,000	81.3%
2001097	Honolulu Zoo Improvements	GI	1,160,000	1,160,000	1,159,686	314	0.0%
2017077	Honolulu Zoo Pries Building Renovation	GI	200,000	200,000	200,000	-	0.0%
	General Improvement Bond Fund	GI	114,717,499	114,817,499	54,344,415	60,473,084	52.7%
	Capital Projects Funds	CP	19,779,100	19,779,100	1,097,650	18,681,450	94.5%
	Community Development Fund	CD	6,454,872	6,454,872	5,744,720	710,152	11.0%
	TOTAL CULTURE - RECREATION		140,951,471	141,051,471	61,186,785	79,864,686	56.6%

Table III-1 (continued)
EXECUTIVE CAPITAL BUDGET FOR FY 2021
24 Month Period Ending June 30, 2022

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<u>UTILITIES OR OTHER ENTERPRISES</u>							
MASS TRANSIT							
Transportation Services							
2006018	Alapai Transportation Management Center	HI	2,493,000	2,493,000	750,987.00	1,742,013	69.9%
1978005	Bus and Handi-Van Acquisition Program	HI	16,600,000	16,600,000	1,736,312.00	14,863,688	89.5%
1978005	Bus and Handi-Van Acquisition Program	FG	14,200,000	14,200,000	13,142,189.29	1,057,811	7.4%
2001116	Bus Stop ADA Access and Site Improvements	HI	50,000	50,000	-	50,000	100.0%
2001116	Bus Stop ADA Access and Site Improvements	FG	200,000	200,000	-	200,000	100.0%
1999317	Middle Street Intermodal Transit Center	HI	6,288,000	6,288,000	-	6,288,000	100.0%
1999317	Middle Street Intermodal Transit Center	FG	25,148,000	25,148,800	25,148,800.00	-	0.0%
	Transit Center Relocation and Improvements-Kapolei	HI	300,000	300,000	-	300,000	100.0%
2021026	Transit Guideway Fiber Optic Backbone	HI	4,750,000	4,750,000	-	4,750,000	100.0%
2008036	Transit Safety and Security Projects	HI	74,000	74,000	56,000.00	18,000	24.3%
2008036	Transit Safety and Security Projects	FG	296,000	296,000	224,000.00	72,000	24.3%
2015031	Waikiki Area Transit Catalytic Improvements	HI	1,700,000	1,700,000	-	1,700,000	100.0%
	Highway Improvement Bond Fund	HI	32,255,000	32,255,000	2,543,299	29,711,701	92.1%
	Federal Grants Fund	FG	39,844,000	39,844,800	38,514,989	1,329,811	3.3%
	TOTAL UTILITIES OR OTHER ENTERPRISES		72,099,000	72,099,800	41,058,288	31,041,512	43.1%

B. MAJOR PROJECT ADJUSTMENTS

In Table III-2 below, major project adjustments for FY 2021 have been highlighted, based on the detailed information in Table III-1. Adjustments either increase or decrease the authorized appropriation for a project. Authority in the budget ordinance for such adjustments include the Project Adjustments Account (a project contained in the General Government function, Staff Agencies program) and a related proviso which allows the unused portion of a project appropriation to be transferred to another project for which appropriations are less than needed, a proviso relating to limited purpose monies, and a proviso allowing sewer project transfers to protect public health and safety or to meet federal or State requirements.

Projects having an adjustment of \$1,000,000 or more, up or down, to the initial appropriation established by ordinance are included in the following table, comparing the original appropriation to the amount of the adjustment.

Table III-2. Major Project Adjustments

Function	Project	Ord 20-23 Appropriation	Adjusted Appropriation
Public Safety	Traffic Improvements at Various Locations (FG)	\$6,816,000	\$13,151,589
Highways and Streets	Salt Lake Boulevard Widening (CP)	\$0	\$11,696,990
Sanitation	Sewer I/I Relief and Rehabilitation Projects-Windward Area (CP)	\$4,702,000	\$6,134,410
Human Services	Community Development Block Grant (CDBG) Program (CD)	\$380,000	\$4,222,000

C. SUMMARY OF RESULTS

In Table III-3 below, the results discussed in the preceding chapters are summarized by budget function (e.g. General Government, Public Safety, etc.) and by fund source. Among other things, this summary reveals which budget functions and fund sources have been subject to large lapses in appropriations.

As shown in the table, among budget function areas, the Public Safety function had the largest relative lapses at 60.4 percent of adjusted appropriations (Excluding Federal Grants). This is primarily due to almost half of the total number of projects lapsing 60 percent or more of the adjusted appropriation. Among fund sources, the general improvement bond fund had the largest total lapse of all the fund sources at \$113.1 million.

**Table III-3
Executive Capital Budget FY 2021
Summary of Results by Function and Fund**

		Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
General Government						
General Improvement Bond Fund	GI	56,733,200	57,623,200	39,488,419	18,134,781	31.5%
Highway Improvement Bond Fund	HI	6,591,100	6,591,100	4,520,600	2,070,500	31.4%
Solid Waste Improvement Bond Fund	WB	13,546,000	13,546,000	13,193,260	352,740	2.6%
Capital Projects Funds	CP	200,000	200,000	15,296	184,704	92.4%
Federal Grants Fund	FG	8,340,800	8,340,800	-	8,340,800	100.0%
Community Development Fund	CD	-	428,750	428,750	-	0.0%
Total		85,411,100	86,729,850	57,646,325	29,083,525	33.5%
Public Safety						
General Improvement Bond Fund	GI	42,628,100	41,638,100	17,215,181	24,422,919	58.7%
Highway Improvement Bond Fund	HI	9,059,000	9,059,000	2,864,620	6,194,380	68.4%
Federal Grants Fund	FG	20,736,000	27,972,089	8,920,604	19,051,485	68.1%
Total		72,423,100	78,669,189	29,000,405	49,668,784	63.1%
Highways and Streets						
General Improvement Bond Fund	GI	16,550,000	16,550,000	7,443,498	9,106,502	55.0%
Highway Improvement Bond Fund	HI	112,335,000	112,335,000	82,880,274	29,454,726	26.2%
Capital Projects Funds	CP	850,000	12,546,990	12,058,265	488,725	3.9%
Federal Grant	FG	31,044,000	31,044,000	1,000,000	30,044,000	96.8%
Total		160,779,000	172,475,990	103,382,037	69,093,953	40.1%
Sanitation						
Sewer Revenue Bond Improvement Fund	SR	552,500,000	552,500,000	460,985,902	91,514,098	16.6%
Solid Waste Improvement Bond Fund	WB	47,413,000	47,413,000	44,906,891	2,506,109	5.3%
Capital Projects Funds	CP	239,616,540	239,616,540	171,525,507	68,091,033	28.4%
Total		839,529,540	839,529,540	677,418,300	162,111,240	19.3%

Table III-3 (continued)
Executive Capital Budget FY 2021
Summary of Results by Function and Fund

		Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Human Services						
General Improvement Bond Fund	GI	1,000,000	1,000,000	-	1,000,000	100.0%
Capital Projects Funds	CP	8,114,000	8,114,000	2,823,587	5,290,413	65.2%
Community Development Fund	CD	380,000	4,222,000	3,693,382	528,618	12.5%
Federal Grants Fund	FG	1,676,830	2,818,566	2,025,610	792,956	28.1%
Total		11,170,830	16,154,566	8,542,579	7,611,987	47.1%
Culture-Recreation						
General Improvement Bond Fund	GI	114,717,499	114,817,499	54,344,415	60,473,084	52.7%
Capital Projects Funds	CP	19,779,100	19,779,100	1,097,650	18,681,450	94.5%
Community Development Fund	CD	6,454,872	6,454,872	5,744,720	710,152	11.0%
Total		140,951,471	141,051,471	61,186,785	79,864,686	56.6%
Utilities or Other Enterprises						
Highway Improvement Bond Fund	HI	32,255,000	32,255,000	2,543,299	29,711,701	92.1%
Federal Grants Fund	FG	39,844,000	39,844,800	38,514,989	1,329,811	3.3%
Total		72,099,000	72,099,800	41,058,288	31,041,512	43.1%
All Functions						
Capital Projects Funds	CP	268,559,640	280,256,630	187,520,306	92,736,324	33.1%
Community Development Fund	CD	6,834,872	11,105,622	9,866,852	1,238,770	11.2%
Federal Grants Fund	FG	101,641,630	110,020,255	50,461,203	59,559,051	54.1%
General Improvement Bond Fund	GI	231,628,799	231,628,799	118,491,512	113,137,287	48.8%
Highway Improvement Bond Fund	HI	160,240,100	160,240,100	92,808,793	67,431,307	42.1%
Sewer Revenue Bond Improvement Fund	SR	552,500,000	552,500,000	460,985,902	91,514,098	16.6%
Solid Waste Improvement Bond Fund	WB	60,959,000	60,959,000	58,100,151	2,858,849	4.7%
Grand Total		1,382,364,041	1,406,710,406	978,234,718	428,475,687	30.5%

IV. APPENDIX: DATA SOURCES

Chapter II

General Fund Overview.	General Fund, Schedule of Revenues, Expenditures, and Changes in Fund Balance; General Fund, Schedule of Revenues, Budget and Actual; and General Fund, Budgetary Comparison Schedule; from Annual Comprehensive Financial Report, City and County of Honolulu.
Table II-1.	ACFR General Fund, Schedule of Revenues, Budget and Actual.
Table II-2.	Major Appropriation Lapses by Activity. Executive operating budget ordinance, 99-PA, City and County of Honolulu Enterprise Resource Planning System.
Table II-3.	Legislative Budget. Legislative budget ordinance, 99-PA, 99-PQ, City and County of Honolulu Enterprise Resource Planning System.
Table II-4.	Executive and Legislative Budget Summary of Results by Fund. Executive operating and legislative budget ordinances, 99-PA, City and County of Honolulu Enterprise Resource Planning System.

Chapter III

Table III-1.	Executive Capital Budget. 99-PA, CIP Prior, City and County of Honolulu Enterprise Resource Planning System; Executive capital budget ordinance.
Table III-2.	Major Project Adjustments. Excerpts from Table III-1; Executive capital budget ordinance.
Table III-3.	Executive Capital Budget. Summary of Results by Function and Fund. 99-PA, 99-PQ, CIP Prior, City and County of Honolulu Enterprise Resource Planning System.